

FORM PTO-1390

U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

50435-055

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

09 / 856779

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US99/28089	NOVEMBER 24, 1999	NOVEMBER 25, 1998

TITLE OF INVENTION

A METHOD FOR ENABLING COMPREHENSIVE PROFILING OF GARBAGE-COLLECTED MEMORY SYSTEMS

APPLICANT(S) FOR DO/EO/US

SHENG LIANG, STEFFEN GRARUP, AND KENNETH OLSEN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau)
 - b. have been transmitted by the International Bureau
 - c. have not been made; however, the time limit for making such amendment has NOT expired.
 - d. have not been made and will not be made
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification
15. A change of power of attorney and/or address letter.
16. Other items or information.
 International Search Report Prepared by EPO
 Notification Of Receipt Of Record Copy
 Front Page Of the International Application As Published
 International Preliminary Examination Report

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) 09/856779	INTERNATIONAL APPLICATION NO. PCT/US99/28089	ATTORNEY'S DOCKET NUMBER 50435-055									
		CALCULATIONS PTO USE ONLY									
17. <input checked="" type="checkbox"/> The following fees are submitted:											
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO		\$860.00									
International preliminary examination fee paid to USPTO (37 CFR 1.482) No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))		\$690.00 \$710.00									
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO		\$1,000.00									
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)		\$100.00									
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 690.00									
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))		\$ 130.00									
Claims	Number Filed	Number Extra	Rate								
Total Claims	38 -20 =	18	x \$18.00	\$ 324.00							
Independent Claims	9 -3 =	6	x \$80.00	\$ 480.00							
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$							
		TOTAL OF ABOVE CALCULATIONS =		\$ 1,624.00							
Reduction by 1/2 for filing by small entity, if applicable Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$							
		SUBTOTAL =		\$ 1,624.00							
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+		\$							
		TOTAL NATIONAL FEE =		\$ 1,624.00							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+		\$							
		TOTAL FEES ENCLOSED =		\$ 1,624.00							
			Amount to be: refunded	\$							
			charged	\$							
a. <input type="checkbox"/>	A check in the amount of \$ _____ to cover the above fees is enclosed.										
b. <input checked="" type="checkbox"/>	Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>1,764.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.										
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.											
SEND ALL CORRESPONDENCE TO:											
McDERMOTT, WILL & EMERY 600 13 th Street, N.W. Washington, DC 20005-3096 (202) 756-8000 Facsimile (202) 756-8087											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">SIGNATURE</td> </tr> <tr> <td style="padding: 2px;"><i>Cameron K Weiffenbach</i></td> </tr> <tr> <td style="padding: 2px;">NAME</td> </tr> <tr> <td style="padding: 2px;">Cameron K Weiffenbach</td> </tr> <tr> <td style="padding: 2px;">REGISTRATION NUMBER</td> </tr> <tr> <td style="padding: 2px;">May 25, 2001</td> </tr> </table>						SIGNATURE	<i>Cameron K Weiffenbach</i>	NAME	Cameron K Weiffenbach	REGISTRATION NUMBER	May 25, 2001
SIGNATURE											
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May 25, 2001											

09/856779

531 Rec'd PCT 25 MAY 2001

Docket No.: 50435-055

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Sheng LIANG, et al. :

Serial No.: Group Art Unit:

Filed: May 25, 2001 Examiner:

For: A METHOD FOR ENABLING COMPREHENSIVE PROFILING OF GARBAGE-COLLECTED MEMORY SYSTEMS

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, DC 20231

Sir:

Prior to examination of the above-referenced application, please amend the application as follows:

IN THE SPECIFICATION:

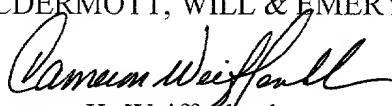
Please substitute the attached Replacement Appendix A and Drawing for the Appendix A as filed.

REMARKS

Entry of this preliminary amendment is respectfully requested.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


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Java Virtual Machine Profiler Interface (JVMPi)

This document describes the Java Virtual Machine Profiler Interface (JVMPi) in JDK 1.2. It is intended for tools vendors to develop profilers that work in conjunction with Sun's Java virtual machine implementation.

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- JVMPI EVENT OBJECT FREE
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1. Overview

The JVMPI is a two-way function call interface between the Java virtual machine and an in-process profiler agent. On one hand, the virtual machine notifies the profiler agent of various events, corresponding to, for example, heap allocation, thread start, etc. On the other hand, the profiler agent issues controls and requests for more information through the JVMPI. For example, the profiler agent can turn on/off a specific event notification, based on the needs of the profiler front-end.

The profiler front-end may or may not run in the same process as the profiler agent. It may reside in a different process on the same machine, or on a remote machine connected via the network. The JVMPPI does not specify a standard wire protocol. Tools vendors may design wire protocols suitable for the needs of different profiler front-ends.

A profiling tool based on JVMPPI can obtain a variety of information such as heavy memory allocation sites, CPU usage hot-spots, unnecessary object retention, and monitor contention, for a comprehensive performance analysis.

JVMPPI supports partial profiling, i.e a user can selectively profile an application for certain subsets of the time the virtual machine is up and can also choose to obtain only certain types of profiling information.

In the current version of JVMPPI, only one agent per virtual machine can be supported.

1.1. Start-up

The user can specify the name of the profiler agent and the options to the profiler agent through a command line option to the Java virtual machine. For example, suppose the user specifies:

```
java -Xrunmyprofiler:headdump=on,file=log.txt ToBeProfiledClass
```

The VM attempts to locate a profiler agent library called `myprofiler` in Java's library directory:

- On Win32, it is `$JAVA_HOME\bin\myprofiler.dll`
- On SPARC/Solaris, it is `$JAVA_HOME/lib/sparc/libmyprofiler.so`

If the library is not found in the Java library directory, the VM continues to search for the library following the normal library search mechanism of the given platform:

- On Win32, the VM searches the current directory, Windows system directories, and the directories in the `PATH` environment variable.
- On Solaris, the VM searches the directories in `LD_LIBRARY_PATH`.

The VM loads the profiler agent library and looks for the entry point:

```
jint JNICALL JVM_OnLoad(JavaVM *jvm, char *options, void *reserved);
```

The VM calls the `JVM_OnLoad` function, passing a pointer to the `JavaVM` instance as the first argument, and string `"headdump=on,file=log.txt"` as the second argument. The third argument to `JVM_OnLoad` is reserved and set to `NULL`.

On success, the `JVM_OnLoad` function must return `JNI_OK`. If for some reason the `JVM_OnLoad` function fails, it must return `JNI_ERR`.

1.2. Function Call Interface

The profiler agent can obtain a function call interface by issuing a `GetEnv` call on the `JavaVM` pointer. For example, the following code retrieves the version of JVMPPI interface that is implemented in JDK

GetEnv call.

The `JVMPPI_Interface` returned by `GetEnv` has all the functions set up except for `NotifyEvent`. The profiler agent must set up the `NotifyEvent` function pointer before returning from `JVM_OnLoad`.

1.3. Event Notification

The VM sends an event by calling `NotifyEvent` with a `JVMPPI_Event` data structure as the argument. The following events are supported:

- method enter and exit
- object alloc, move, and free
- heap arena create and delete
- GC start and finish
- JNI global reference alloc and free
- JNI weak global reference alloc and free
- compiled method load and unload
- thread start and end
- class file data ready for instrumentation
- class load and unload
- contended Java monitor wait to enter, entered, and exit
- contended raw monitor wait to enter, entered, and exit
- Java monitor wait and waited
- monitor dump
- heap dump
- object dump
- request to dump or reset profiling data
- Java virtual machine initialization and shutdown

The `JVMPPI_Event` structure contains the event type, the `JNIEEnv` pointer of the current thread, and other event-specific information. The event specific information is represented as a union of event-specific structures. The `JVMPPI Events` section provides a complete description of all event-specific structures. For now, we show the event-specific structures for class load and class unload below.

```
typedef struct {
    jint event_type;                      /* event_type */
    JNIEEnv *env_id;                      /* env where this event occurred */

    union {
        struct {
            char *class_name;           /* class name */
            char *source_name;         /* name of source file */
            jint num_interfaces;      /* number of interfaces implemented */
            jint num_methods;         /* number of methods in the class */
            JVMPPI_Method *methods;   /* methods */
            jint num_static_fields;   /* number of static fields */
            JVMPPI_Field *statics;   /* static fields */
            jint num_instance_fields; /* number of instance fields */
            JVMPPI_Field *instances;  /* instance fields */
            jobjectID class_id;       /* id of the class object */
        } class_load;

        struct {
            jobjectID class_id;       /* id of the class object */
        } class_unload;
    } u;
} JVMPPI_Event;
```

... /* Refer to the section on JVMPPI events for a full listing */

```
    } JVMPI_Event;
```

1.4. JVMPi IDs

The JVMPi refers to entities in the Java virtual machine as various kinds of IDs. Threads, classes, methods, objects, heap arenas and JNI global references all have unique IDs.

Each ID has a defining event and an undefining event. A defining event provides the information related to an ID. For example, the defining event for a thread ID contains, among other entries, the name of the thread.

An ID is valid until its undefining event arrives. An undefining event invalidates the ID, whose value may be reused later as a different kind of ID. The value of a thread ID, for example, may be redefined as a method ID after the thread ends.

ID	data type	defining event	undefining event
thread ID	JNIEnv *	thread start	thread end
object ID	jobjectID	object alloc	object free, object move, and arena delete
class ID	jobjectID	class load	class unload and object move
method ID	jmethodID	defining class load	defining class unload
arena ID	jint	arena new	arena delete
JNI global ref ID	jobject	global ref alloc	global ref free

Assuming the defining events are enabled during the profiler initialization, the profiler agent is guaranteed to be notified of an entity's creation through a defining event, before the entity appears in other JVMPi events.

If the defining events are not enabled, the profiler agent may receive an unknown ID. In that case the profiler agent may request the corresponding defining event to be sent on demand by issuing a RequestEvent call.

IDs representing objects have type `jobjectID`. A class is represented by the object ID of the corresponding `java.lang.Class` object. Therefore, class IDs are also of type `jobjectID`.

A `jobjectID` is defined by an object alloc event, and remains valid in the arena in which the object is allocated until one of its undefining events arrive:

- An object free event invalidates an object ID.
- An object move event is a special type of undefining events. Unlike other undefining events which signal the end-of-life of the corresponding entities, the object still exists, but its ID changes, and it may have been moved to a new arena.
- An arena delete event invalidates all remaining object IDs in the arena.

When an object free or arena delete event invalidates an object ID, the object is known as being garbage collected.

Typically, the profiler agent maintains a mapping between `jobjectIDs` and its internal representation of object identities, and updates the mapping in response to the defining and undefining events for JVMPi object IDs.

Since object IDs may be invalidated during GC, the VM issues all events that contain `jobjectID` entries with GC disabled. In addition, the profiling agent must disable GC when it is directly

manipulating any `jobjectID` data types. Otherwise the GC may invalidate a `jobjectID` while it is being manipulated in the agent code. The profiler agent must make sure that GC is disabled when it calls a JVMPi function that either takes a `jobjectID` argument or returns a `jobjectID` result. If the function call is inside an event handler where GC is already disabled, then the profiler agent need not explicitly disable the GC again.

A thread may be identified either by its `JNIEnv` interface pointer or by the object ID of the corresponding `java.lang.Thread` object. The `JNIEnv` pointer is valid between thread start and thread end events, and remains constant during the lifetime of a thread. The `java.lang.Thread` object ID, on the other hand, could remain valid after the thread ends, until it is garbage collected. The profiler agent can convert a `JNIEnv` pointer to the corresponding thread object ID by calling the `GetThreadObject` function.

1.5. Threading and Locking Issues

The JVMPi is used by the profiler agent that runs in the same process as the Java virtual machine. Programmers who write the agent must be careful in dealing with threading and locking issues in order to prevent data corruption and deadlocks.

Events are sent in the same thread where they are generated. For example, a class loading event is sent in the same thread in which the class is loaded. Multiple events may arrive concurrently in different threads. The agent program must therefore provide the necessary synchronization in order to avoid data corruption caused by multiple threads updating the same data structure at the same time.

In some cases, synchronizing on certain frequent events (such as method entry and method exit) may impose unacceptable overhead to program execution. Agents may utilize the thread-local storage support provided by the JVMPi to record profiling data without having to contend for global locks, and only merge the thread-local data into global profiles at selected intervals. The JVMPi supplies the agent with a pointer-size thread-local storage. Following is a simple example that illustrates how a profiler agent may take advantage of this feature. Suppose we need to write a profiler agent that counts the number of methods executed in each thread. The agent installs event handlers for thread start, method entry, and thread end events:

```
/* thread start event handler
 * sets up the storage for thread-local method invocation counter
 */
void ThreadStartHandler(JNIEnv *thread_id)
{
    int *p_ctr = (int *)malloc(sizeof(int));
    CALL(SetThreadLocalStorage)(thread_id, p_ctr);
}

/* method enter event handler
 * increments thread local method invocation counter
 */
void MethodEntryHandler(jmethodID method_id, JNIEnv *thread_id)
{
    int *p_ctr = (int *)CALL(GetThreadLocalStorage)(thread_id);
    (*p_ctr)++;
}

/* thread end handler
 * prints the number of methods executed
 */
void ThreadEndHandler(JNIEnv *thread_id)
{
    int *p_ctr = (int *)CALL(GetThreadLocalStorage)(thread_id);
    fprintf(stdout, "Thread %x executed %d methods\n",
            thread_id, *p_ctr);
}
```

```

        thread_id, (*p_ctr));
free(p_ctr);
}

```

The following JVMPi functions can cause event notification to be sent synchronously in the same thread during the function execution:

- RequestEvent
- CreateSystemThread
- RunGC

The RequestEvent function supplies the JVMPi event explicitly requested by the profiler agent. The CreateSystemThread function causes thread object allocation and thread start events to be issued. The RunGC function causes GC-related events to be generated.

When a profiling agent is loaded into the Java virtual machine, the process can either be in one of three modes: multi-threaded mode with GC enabled, multi-threaded mode with GC disabled, and the thread suspended mode. Different JVMPi events are issued in different modes. Certain JVMPi functions change the process from one mode to another.

The profiler agent must obey the following guidelines to avoid deadlocks:

- In the multi-threaded mode with GC enabled, the agent code has a great deal of freedom in acquiring locks and calling JVMPi functions. Of course the normal rules of deadlock avoidance apply. Different threads must not enter the same set of locks in different orders.
- When the GC is disabled the agent program must not call any JVMPi function that could require new Java objects to be created or cause the garbage collector to run. Currently, such functions include CreateSystemThread and RunGC. In addition, programmers need to be aware that disabling the GC creates an implicit locking dependency among threads. When the GC is disabled, the current thread may not be able to safely acquire certain locks. Deadlocks may happen, for example, if one thread disables GC and tries to acquire a lock, while another thread already acquired that lock but is triggering a GC.
- In the thread suspended mode, one or more of the threads have been suspended. In this case, the agent program must not perform any operations that may cause the current thread to block. Such operations include, for example, the malloc and fprintf functions provided by the standard C library. These functions typically acquire internal C library locks that may be held by one of the suspended threads.

1.6 Data Communication between the Profiler Agent and Front-End

The JVMPi provides a low-level mechanism for a profiler agent to communicate with the virtual machine. The goal is to provide maximum flexibility for the profiler agent to present the data depending on the needs of the front-end, and also to keep the processing work done by the virtual machine at a minimum. Therefore, the JVMPi does not specify a wire protocol between the profiling agent and the front-end. Instead, tools vendors design their own profiling agents that suit the needs of their front-ends.

The following issues need to be considered when designing the wire protocol in order to allow the profiler agent and front-end to reside on different machines:

- Pointer size (e.g., 32 or 64 bit) - all of the JVMPi IDs are of pointer type (see Data Types).
- Byte order (little endian or big endian).
- Bit order (most significant bit first or least significant bit first).

- String encoding - the JVMPi uses the UTF-8 encoding as documented in the Java virtual machine specification.

For example, the *hprof* profiler agent shipped with JDK 1.2 sends the size of all IDs as the first record, and uses the standard network byte order for integer and floating-point data.

2. Interface Functions

```
jint (*CreateSystemThread) (char *name, jint priority, void (*f) (void *));
```

Called by the profiler agent to create a daemon thread in the Java virtual machine.

It is safe for the profiler agent to make this call only after the JVM notifies a JVMPi_EVENT_INIT_DONE and when the system is in a multi-threaded mode with GC enabled.

Arguments:

name - name of the thread.

priority - thread priority; the values can be:

```
JVMPi_NORMAL_PRIORITY  
JVMPi_MAXIMUM_PRIORITY  
JVMPi_MINIMUM_PRIORITY
```

f - function to be run by the thread.

Returns:

JNI_OK - success.

JNI_ERR - failure.

```
jint (*DisableEvent) (jint event_type, void *arg);
```

Called by the profiler agent to disable the notification of a particular type of event. Apart from event_type, the profiler agent may also pass an argument that provides additional information specific to the given event type.

All events are disabled when the VM starts up. Once enabled, an event stays enabled until it is explicitly disabled.

This function returns JVMPi_NOT_AVAILABLE if event_type is JVMPi_EVENT_HEAP_DUMP, JVMPi_EVENT_MONITOR_DUMP or JVMPi_EVENT_OBJECT_DUMP.

Arguments:

event_type - type of event, JVMPi_EVENT_CLASS_LOAD etc.

arg - event specific information.

Returns:

JVMPI_SUCCESS disable succeeded.
JVMPI_FAIL disable failed.
JVMPI_NOT_AVAILABLE support for disabling the given event_type is not available.

void (*DisableGC) (void);

Called by the profiler to disable garbage collection, until EnabledGC is called. DisableGC and EnableGC calls may be nested.

jint (*EnableEvent) (jint event_type, void *arg);

Called by the profiler agent to enable notification of a particular type of event. Apart from event_type, the profiler may also pass an argument that provides additional information specific to the given event type.

All events are disabled when the VM starts up. Once enabled, an event stays enabled until it is explicitly disabled.

This function returns JVMPI_NOT_AVAILABLE if event_type is JVMPI_EVENT_HEAP_DUMP, JVMPI_EVENT_MONITOR_DUMP or JVMPI_EVENT_OBJECT_DUMP. The profiler agent must use the RequestEvent function to request these events.

Arguments:

event_type - type of event, JVMPI_EVENT_CLASS_LOAD etc.
arg - event specific argument.

Returns:

JVMPI_SUCCESS enable succeeded.
JVMPI_FAIL enable failed.
JVMPI_NOT_AVAILABLE support for enabling the given event_type is not available.

void (*EnableGC) (void);

Enables garbage collections. DisableGC and EnableGC calls may be nested.

void (*GetCallTrace) (JVMPI_CallTrace *trace, jint depth);

Called by the profiler to obtain the current method call stack trace for a given thread. The thread is identified by the env_id field in the JVMPI_CallTrace structure. The profiler agent should allocate a JVMPI_CallTrace structure with enough memory for the requested stack depth. The VM fills in the frames buffer and the num_frames field.

Arguments:

trace - trace data structure to be filled by the VM.
depth - depth of the call stack trace.

```
jlong (*GetCurrentThreadCpuTime) (void);
```

Called by the profiler agent to obtain the accumulated CPU time consumed by the current thread.

Returns:

time in nanoseconds

```
jobjectID (*GetMethodClass) (jmethodID mid);
```

Called by the profiler agent to obtain the object ID of the class that defines a method.

The profiler must disable GC before calling this function.

Arguments:

mid - a method ID.

Returns:

object ID of the defining class.

```
void * (*GetThreadLocalStorage) (JNIEnv *env_id);
```

Called by the profiler to get the value of the JVMPi thread-local storage. The JVMPi supplies to the agent a pointer-size thread-local storage that can be used to record per-thread profiling information.

Arguments:

env_id - the JNIEnv * of the thread.

Returns:

the value of the thread local storage

```
jobjectID (*GetThreadObject) (JNIEnv *env);
```

Called by the profiler agent to obtain the thread object ID that corresponds to a JNIEnv pointer.

The profiler must disable GC before calling this function.

Arguments:

env - JNIEnv pointer of the thread.

Returns:

the thread object ID.

```
jint (*GetThreadStatus) (JNIEnv *env);
```

Called by the profiler agent to obtain the status of a thread.

The JVMPi functions SuspendThread and ResumeThread have no affect on the status returned by GetThreadStatus. The status of a thread suspended through the JVMPi remains unchanged and the status at the time of suspension is returned.

Arguments:

env - the JNIEnv * of the thread.

Returns:

JVMPi_THREAD_RUNNABLE - thread is runnable.

JVMPi_THREAD_MONITOR_WAIT - thread is waiting on a monitor.

JVMPi_THREAD_CONDVAR_WAIT - thread is waiting on a condition variable.

When a thread is suspended (by java.lang.Thread.suspend) or interrupted in any of the above states the JVMPi_THREAD_SUSPENDED or the JVMPi_THREAD_INTERRUPTED bit is set.

```
void (*NotifyEvent) (JVMPi_Event *event);
```

Called by the VM to send an event to the profiling agent. The profiler agent registers the types of events it is interested in by calling EnableEvent, or requests a specific type of event by calling RequestEvent.

When an event is enabled by EnableEvent, the thread that generates the event is the thread in which the event is sent. When an event is requested by RequestEvent, the thread that requests the event is the thread in which the event is sent. Multiple threads may send multiple events concurrently.

If the event specific information contains a jobjectID, this function is called with GC disabled. GC is enabled after the function returns.

The space allocated for the JVMPi_Event structure and any event specific information is freed by the virtual machine once this function returns. The profiler agent must copy any necessary data it needs to retain into its internal buffers.

Arguments:

event - the JVMPi event sent from the VM to the profiling agent.

```
void (*ProfilerExit) (jint err_code);
```

Called by the profiler agent to inform the VM that the profiler wants to exit with error code set to err_code. This function causes the VM to also exit with the same err_code.

Arguments:

err_code - exit code

JVMPi_RawMonitor (*RawMonitorCreate)(char *lock_name);

Called by the profiler to create a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

It is not safe for the profiler agent to call this function in the thread suspended mode because this function may call arbitrary system functions such as malloc and block on an internal system library lock.

If the raw monitor is created with a name beginning with an underscore ('_'), then its monitor contention events are not sent to the profiler agent.

Arguments:

lock_name - name of raw monitor.

Returns:

a raw monitor

void (*RawMonitorDestroy)(JVMPi_RawMonitor lock_id);

Called by the profiler agent to destroy a raw monitor and free all system resources associated with the monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

It is not safe for the profiler agent to call this function in the thread suspended mode because this function may call arbitrary system functions such as free and block on a internal system library lock.

Arguments:

lock_id - the raw monitor to be destroyed

void (*RawMonitorEnter)(JVMPi_RawMonitor lock_id);

Called by the profiler agent to enter a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

It is not safe for the profiler agent to call this function in the thread suspended mode because

the current thread may block on the raw monitor already acquired by one of the suspended threads.

Arguments:

`lock_id` - the raw monitor to be entered

```
void (*RawMonitorExit) (JVMPI_RawMonitor lock_id);
```

Called by the profiler agent to exit a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

Arguments:

`lock_id` - the raw monitor to exit

```
void (*RawMonitorNotifyAll) (JVMPI_RawMonitor lock_id);
```

Called by the profiler to notify all the threads that are waiting on a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

Arguments:

`lock_id` - the raw monitor to notify

```
void (*RawMonitorWait) (JVMPI_RawMonitor lock_id, jlong ms);
```

Called by the profiler agent to wait on a raw monitor for a specified timeout period. Passing 0 as the timeout period causes the thread to wait forever.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

Arguments:

`lock_id` - the raw monitor to wait on

`ms` - time to wait (in milliseconds).

```
jint (*RequestEvent) (jint event_type, void *arg);
```

Called by the profiler agent to request a particular type of event to be notified. Apart from `event_type`, the profiler agent may also pass an argument that provides additional information specific to the given event type.

This function can be called to request one-time events such as `JVMPI_EVENT_HEAP_DUMP`, `JVMPI_EVENT_MONITOR_DUMP` and `JVMPI_EVENT_OBJECT_DUMP`. Notification for these events cannot be controlled by the `EnableEvent` and `DisableEvent` functions.

In addition, this function can be called to request the *defining events* for a specific class, thread, or object. This is useful when the profiler agent needs to resolve an unknown class, method, thread, or object ID received in an event, but the corresponding defining event was disabled earlier.

- The profiler agent may receive information about an unknown class ID by requesting a JVMPi_EVENT_CLASS_LOAD event and setting the event-specific argument to the class object ID.
- The profiler agent may receive information about an unknown thread ID by requesting a JVMPi_EVENT_THREAD_START event and setting the event-specific argument to the thread object ID.
- The profiler agent may receive information about an unknown object ID by requesting a JVMPi_EVENT_OBJECT_ALLOC event and setting the event-specific argument to the object ID.

Thus the profiler agent can either enable the above three events asynchronously by calling EnableEvent, or request these events synchronously by calling RequestEvent. The requested event is sent in the same thread that issued the RequestEvent call, and is sent before the RequestEvent function returns.

The RequestEvent function cannot be used to request other events not listed above.

Events requested through RequestEvent will arrive with the JVMPi_REQUESTED_EVENT bit set in its event_type.

Arguments:

event_type - type of event, JVMPi_EVENT_CLASS_LOAD etc.
arg - event specific argument.

Returns:

<u>JVMPi_SUCCESS</u>	request succeeded.
<u>JVMPi_FAIL</u>	request failed.
<u>JVMPi_NOT_AVAILABLE</u>	support for issuing the requested <u>event_type</u> is not available.

`void (*ResumeThread) (JNIEnv *env);`

Called by the profiler agent to resume a thread.

Note that a thread suspended by the `java.lang.Thread.suspend` method cannot be resumed by the JVMPi_ResumeThread function.

Arguments:

env - the JNIEnv * of the thread.

`void (*RunGC) (void);`

Called by the profiler to force a complete garbage collection. This function must not be called when GC is disabled.

```
void (*SetThreadLocalStorage) (JNIEnv *env_id, void *ptr);
```

Called by the profiler agent to set the value of the JVMPi thread-local storage. The JVMPi supplies to the agent a pointer-size thread-local storage that can be used to record per-thread profiling information.

Arguments:

env_id - the JNIEnv * of the thread.
ptr - the value to be entered into the thread-local storage.

```
void (*SuspendThread) (JNIEnv *env);
```

Called by the profiler agent to suspend a thread. The system enters the thread suspended mode after this function is called.

Note that a thread suspended by the JVMPi SuspendThread function cannot be resumed by the java.lang.Thread.resume method.

In the JDK 1.2 implementation, this function must be called when the GC is disabled. GC must remain disabled until all threads have been resumed.

Arguments:

env - the JNIEnv * of the thread.

```
jboolean (*ThreadHasRun) (JNIEnv *env);
```

Called by the profiler to determine if a thread identified by the given JNIEnv pointer has consumed CPU time since the last time the thread was suspended by SuspendThread. This function must be called when the thread has been resumed by ResumeThread and then suspended again by the SuspendThread function.

Arguments:

env - the JNIEnv * of the thread.

Returns:

JNI_TRUE - thread got a chance to run.
JNI_FALSE - thread did not get a chance to run.

3. Events

JVMPi_EVENT_ARENA_DELETE

Sent when a heap arena is deleted.

All objects residing in this arena are freed. An explicit JVMPi_EVENT_OBJECT_FREE is not sent for those objects. The profiler agent can infer all the objects currently residing in that arena by

keeping track of the object allocations in the arena and all the objects moved in and out of the arena.

This event is issued in the thread suspended mode. The profiler must not make any blocking calls such as entering a monitor or allocating from the C heap (for example, via `malloc`).

This event is always sent between a pair of `JVMPI_EVENT_GC_START` and `JVMPI_EVENT_GC_FINISH` events. The profiler agent should acquire all the locks need for processing this event in the event handler for `JVMPI_EVENT_GC_START`.

```
struct {
    jint arena_id;
} delete_arena;
```

Contents:

`arena_id` - ID of the arena being deleted.

`JVMPI_EVENT_ARENA_NEW`

Sent when a new arena for allocating objects is created.

```
struct {
    jint arena_id;
    char *arena_name;
} new_arena;
```

Contents:

`arena_id` - ID given to the arena.

`arena_name` - name of the arena.

`JVMPI_EVENT_CLASS_LOAD`

Sent when a class is loaded in the VM, or when the profiler agent requests a `JVMPI_EVENT_CLASS_LOAD` event by issuing a `RequestEvent` call. In the latter case, the `JVMPI_REQUESTED_EVENT` bit in the event type is set.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    char *class_name;
    char *source_name;
    jint num_interfaces;
    jint num_methods;
    JVMPI_Method *methods;
    jint num_static_fields;
    JVMPI_Field *statics;
    jint num_instance_fields;
    JVMPI_Field *instances;
    objectID class_id;
} class_load;
```

Contents:

class_name	- name of class being loaded.
source_name	- name of source file that defines the class.
num_interfaces	- number of interfaces implemented by this class.
methods	- methods defined in the class.
num_static_fields	- number of static fields defined in this class.
statics	- static fields defined in the class.
num_instance_fields	- number of instance fields defined in this class.
instances	- instance fields defined in the class.
class_id	- class object ID.

Note: class IDs are IDs of the class objects and are subject to change when `JVMPI_EVENT_OBJECT_MOVE` arrives.

`JVMPI_EVENT_CLASS_LOAD_HOOK`

Sent when the VM obtains a class file data, but before it constructs the in-memory representation for that class. The profiler agent can instrument the existing class file data sent by the VM to include profiling hooks.

The profiler must allocate the space for the modified class file data buffer using the memory allocation function pointer sent in this event, because the VM is responsible for freeing the new class file data buffer.

```
struct {
    unsigned char *class_data;
    jint class_data_len;
    unsigned char *new_class_data;
    jint new_class_data_len;
    void * (*malloc_f)(unsigned int);
} class_load_hook;
```

Contents:

class_data	- pointer to the current class file data buffer.
class_data_len	- length of current class file data buffer.
new_class_data	- pointer to the instrumented class file data buffer.
new_class_data_len	- length of the new class file data buffer.
malloc_f	- pointer to a memory allocation function.

The profiler agent must set `new_class_data` to point to the newly instrumented class file data buffer and set `new_class_data_len` to the length of that buffer before returning from `NotifyEvent`. It must set both `new_class_data` and `new_class_data_len` to the old values if it chooses not to instrument this class.

`JVMPI_EVENT_CLASS_UNLOAD`

Sent when a class is unloaded.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    jobjectID class_ia;
} class_unload;
```

Contents:

class_id - class being unloaded.

JVMPI_EVENT_COMPILED_METHOD_LOAD

Sent when a method is compiled and loaded into memory.

```
struct {
    jmethodID method_id;
    void *code_addr;
    jint code_size;
    jint lineno_table_size;
    JVMPI_Linenos *lineno_table;
} compiled_method_load;
```

Contents:

method_id	- method being compiled and loaded.
code_addr	- address where compiled method code is loaded.
code_size	- size of compiled code.
lineno_table_size	- size of line number table.
lineno_table	- table mapping offset from beginning of method to the src file line number.

JVMPI_EVENT_COMPILED_METHOD_UNLOAD

Sent when a compiled method is unloaded from memory.

```
struct {
    jmethodID method_id;
} compiled_method_unload;
```

Contents:

method_id - compiled method being unloaded.

JVMPI_EVENT_DATA_DUMP_REQUEST

Sent by the VM to request the profiler agent to dump its data. This is just a hint and the profiler agent need not react to this event. This is useful for processing command line signals from users. For example, in JDK 1.2 a CTRL-Break on Win32 and a CTRL-\ on Solaris causes the VM to send this event to the profiler agent.

There is no event specific information.

JVMPI_EVENT_DATA_RESET_REQUEST

Sent by the VM to request the profiler agent to reset its data. This is just a hint and the profiler agent need not react to this event. This is useful for processing command line signals from users. For example, in JDK 1.2 a CTRL-Break on Win32 and a CTRL-\ on Solaris causes the VM to send this event to the profiler agent.

There is no event specific information.

JVMPI_EVENT_GC_FINISH

Sent when GC finishes. The profiler agent can release any locks, grabbed during GC start notification for handling object free, object move, and arena delete events. The system gets back into the multi-threaded mode after this event.

The event-specific data contains Java heap statistics.

```
struct {
    jlong used_objects;
    jlong used_object_space;
    jlong total_object_space;
} gc_info;
```

Contents:

`used_objects` - number of used objects on the heap.
`used_object_space` - amount of space used by the objects (in bytes).
`total_object_space` - total amount of object space (in bytes).

JVMPI_EVENT_GC_START

Sent when GC is about to start. The system goes into thread suspended mode after this event. To avoid deadlocks, the profiler agent should grab any locks that are needed for handling object free, object move, and arena delete events in the event handler for this event.

There is no event specific information.

JVMPI_EVENT_HEAP_DUMP

Sent when requested by the `RequestEvent` function. The profiler agent can specify the level of information to be dumped by passing an `JVMPI_HeapDumpArg` structure to `RequestEvent` as the second argument, with the `heap_dump_level` field set to the desired dump level.

The dump level values can be one of the following:

- `JVMPI_DUMP_LEVEL_0`
- `JVMPI_DUMP_LEVEL_1`
- `JVMPI_DUMP_LEVEL_2`

If a NULL value is passed, then the dump level is set to `JVMPI_DUMP_LEVEL_2`.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

The event-specific data contains a snapshot of all live objects in the Java heap.

```
    struct {
        int dump_level;
        char *begin;
        char *end;
        jint num_traces;
        JVMPI_CallTrace *traces;
    } heap_dump;
```

Contents:

dump_level - the dump level specified in [RequestEvent](#)
begin - beginning of the heap dump
end - end of the heap dump
num_traces - number of stack traces in which the GC roots reside, 0 for [JVMPI_DUMP_LEVEL_0](#)
traces - the stack traces in which the GC roots reside

The format of the heap dump between begin and end depends on the level of information requested. The formats are described in detail in the [JVMPI Dump Formats](#) section.

[JVMPI_EVENT_JNI_GLOBALREF_ALLOC](#)

Sent when a JNI global reference is created. The event-specific data contains the JNI global reference as well as the corresponding object ID.

This event is issued with GC disabled. GC is re-enabled after [NotifyEvent](#) returns.

```
    struct {
        jobjectID obj_id;
        jobject ref_id;
    } jni_globalref_alloc;
```

Contents:

obj_id - object ID referred to by the global reference.
ref_id - JNI global reference.

[JVMPI_EVENT_JNI_GLOBALREF_FREE](#)

Sent when a JNI global reference is deleted. The event-specific data contains the JNI global reference that is being deleted.

```
    struct {
        jobject ref_id;
    } jni_globalref_free;
```

Contents:

ref_id - JNI global reference.

[JVMPI_EVENT_JNI_WEAK_GLOBALREF_ALLOC](#)

Sent when a JNI weak global reference is created. The event-specific data contains the JNI weak global reference as well as the corresponding object ID.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID obj_id;
    jobject ref_id;
} jni_globalref_alloc;
```

Contents:

obj_id - object ID referred to by the weak global reference.

ref_id - JNI weak global reference.

JVMPI_EVENT_JNI_WEAK_GLOBALREF_FREE

Sent when a JNI weak global reference is deleted. The event-specific data contains the JNI weak global reference that is being deleted.

```
struct {
    jobject ref_id;
} jni_globalref_free;
```

Contents:

ref_id - JNI weak global reference.

JVMPI_EVENT_JVM_INIT_DONE

Sent by the VM when its initialization is done. It is safe to call CreateSystemThread only after this event is notified.

There is no event specific data.

JVMPI_EVENT_JVM_SHUT_DOWN

Sent by the VM when it is shutting down. The profiler typically responds by saving the profiling data.

There is no event specific data.

JVMPI_EVENT_METHOD_ENTRY

Sent when a method is entered. Compared with JVMPI_EVENT_METHOD_ENTRY2, this event does not send the jobjectID of the target object on which the method is invoked.

```
struct {
    jmethodID method_id;
} method;
```

Contents:

`method_id` - the method being entered.

JVMPI_EVENT_METHOD_ENTRY2

Sent when a method is entered. If the method is an instance method, the `jobjectID` of the target object is sent with the event. If the method is a static method, the `obj_id` field in the event is set to NULL.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    jmethodID method_id;
    jobjectID obj_id;
} method_entry2;
```

Contents:

`method_id` - the method being entered.

`obj_id` - the target object, NULL for static methods.

JVMPI_EVENT_METHOD_EXIT

Sent when a method is exited. The method exit may be a normal exit, or caused by an unhandled exception.

```
struct {
    jmethodID method_id;
} method;
```

Contents:

`method_id` - the method being entered.

JVMPI_EVENT_MONITOR_CONTENDED_ENTER

Sent when a thread is attempting to enter a Java monitor already acquired by another thread.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    jobjectID object;
} monitor;
```

Contents:

`object` - object ID associated with the monitor

JVMPI_EVENT_MONITOR_CONTENDED_ENTERED

Sent when a thread enters a Java monitor after waiting for it to be released by another thread.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID object;
} monitor;
```

Contents:

object - object ID associated with the monitor

JVMPI_EVENT_MONITOR_CONTENDED_EXIT

Sent when a thread exits a Java monitor, and another thread is waiting to acquire the same monitor.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID object;
} monitor;
```

Contents:

object - object ID associated with the monitor

JVMPI_EVENT_MONITOR_DUMP

Sent when requested by the RequestEvent function.

The event-specific data contains a snapshot of all the threads and monitors in the VM.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    char *begin;
    char *end;
    jint num_traces;
    JVMPI_CallTrace *traces;
    jint *threads_status;
} monitor_dump;
```

Contents:

begin	- start of the monitor dump buffer.
end	- end of the dump buffer
num_traces	- number of thread traces.
traces	- traces of all threads.
thread_status	- status of all threads.

The format of the monitor dump buffer is described in detail in the JVMPI Dump Formats section.

JVMPI_EVENT_MONITOR_WAIT

Sent when a thread is about to wait on an object.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID object;
    jlong timeout;
} monitor_wait;
```

Contents:

object - ID of object on which the current thread is going to wait.

(NULL indicates the thread is in Thread.sleep.)

timeout - the number of milliseconds the thread will wait. (0 indicates waiting forever.)

JVMPI_EVENT_MONITOR_WAITED

Sent when a thread finishes waiting on an object.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID object;
    jlong timeout;
} monitor_wait;
```

Contents:

object - ID of object on which the current thread waited.

(NULL indicates the thread is in Thread.sleep.)

timeout - the number of milliseconds the thread waited.

JVMPI_EVENT_OBJECT_ALLOC

Sent when an object is allocated, or when the profiler agent requests a JVMPI_EVENT_OBJECT_ALLOC event by issuing a RequestEvent call. In the latter case, the JVMPI_REQUESTED_EVENT bit in the event type is set.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jint arena_id;
    jobjectID class_id;
    jint is_array;
    jint size;
    jobjectID obj_id;
} obj_alloc;
```

Contents:

`arena_id` - arena where allocated.
- class to which this object belongs, or the array element class if
`class_id` is `_array` is `JVMPI_CLASS`.
`is_array` - values can be:

<code>JVMPI_NORMAL_OBJECT</code>	normal object
<code>JVMPI_CLASS</code>	array of objects
<code>JVMPI_BOOLEAN</code>	array of booleans
<code>JVMPI_BYTE</code>	array of bytes
<code>JVMPI_CHAR</code>	array of chars
<code>JVMPI_SHORT</code>	array of shorts
<code>JVMPI_INT</code>	array of ints
<code>JVMPI_LONG</code>	array of longs
<code>JVMPI_FLOAT</code>	array of floats
<code>JVMPI_DOUBLE</code>	array of doubles

`size` - size in number of bytes.
`obj_id` - unique object ID.

JVMPI_EVENT_OBJECT_DUMP

Sent when requested by the `RequestEvent` function. The `objectID` of the object for which a dump is being requested should be passed as the second argument to `RequestEvent`.

The profiler agent should request this event with GC disabled.

The event-specific data contains a snapshot of the object.

```
struct {
    jint data_len;
    char *data;
} object_dump;
```

Contents:

`data_len` - length of the object dump buffer
`data` - beginning of the object dump

The format of the object dump buffer is described in detail in the JVMPI Dump Formats section.

JVMPI_EVENT_OBJECT_FREE

Sent when an object is freed.

This event is issued in the thread suspended mode. The profiler must not make any blocking calls such as entering a monitor or allocating from the C heap (for example, via `malloc`).

This event is always sent between a pair of `JVMPI_EVENT_GC_START` and `JVMPI_EVENT_GC_FINISH` events. The profiler agent should acquire all the locks need for

processing this event in the event handler for `JVMPI_EVENT_GC_START`.

```
struct {
    jobjectID obj_id;
} obj_free;
```

Contents:

`obj_id` - object being freed.

`JVMPI_EVENT_OBJECT_MOVE`

Sent when an object is moved in the heap.

This event is issued in the thread suspended mode. The profiler must not make any blocking calls such as entering a monitor or allocating from the C heap (for example, via `malloc`).

This event is always sent between a pair of `JVMPI_EVENT_GC_START` and `JVMPI_EVENT_GC_FINISH` events. The profiler agent should acquire all the locks need for processing this event in the event handler for `JVMPI_EVENT_GC_START`.

```
struct {
    jint arena_id;
    jobjectID obj_id;
    jint new_arena_id;
    jobjectID new_obj_id;
} obj_move;
```

Contents:

`arena_id` - current arena.
`obj_id` - current object ID.
`new_arena_id` - new arena.
`new_obj_id` - new object ID.

`JVMPI_EVENT_RAW_MONITOR_CONTENDED_ENTER`

Sent when a thread is attempting to enter a raw monitor already acquired by another thread.

```
struct {
    char *name;
    JVMPI_RawMonitor id;
} raw_monitor;
```

Contents:

`name` - name of the raw monitor
`id` - ID of the raw monitor

`JVMPI_EVENT_RAW_MONITOR_CONTENDED_ENTERED`

Sent when a thread enters a raw monitor after waiting for it to be released by another thread.

```
struct {
    char *name;
    JVMPI_RawMonitor id;
} raw_monitor;
```

Contents:

name - name of the raw monitor

id - ID of the raw monitor

JVMPI_EVENT_RAW_MONITOR_CONTENDED_EXIT

Sent when a thread exits a raw monitor, and another thread is waiting to acquire the same monitor.

```
struct {
    char *name;
    JVMPI_RawMonitor id;
} raw_monitor;
```

Contents:

name - name of the raw monitor

id - ID of the raw monitor

JVMPI_EVENT_THREAD_END

Sent when a thread ends in the VM.

The env_id field of the JVMPI_Event received in this event notification is the JNIEnv interface pointer of the thread that ended.

JVMPI_EVENT_THREAD_START

Sent when a thread is started in the VM, or when the profiler agent requests a JVMPI_EVENT_THREAD_START event by issuing a RequestEvent call. In the latter case, the JVMPI_REQUESTED_EVENT bit in the event type is set.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    char *thread_name;
    char *group_name;
    char *parent_name;
    jobjectID thread_id;
    JNIEnv *thread_env_id;
} thread_start;
```

Contents:

thread_name - name of thread being started.
group_name - group to which the thread belongs.
parent_name - name of parent.
thread_id - thread object ID.
thread_env_id - JNIEnv * of the thread.

Threads are associated with a JNIEnv pointer and a thread object ID. The JVMPPI uses the JNIEnv pointer as the thread ID.

4. Dump Formats

4.1 Sizes and Types Used in Dump Format Descriptions

u1: 1 byte

u2: 2 bytes

u4: 4 bytes

u8: 8 bytes

ty: u1 where:

JVMPPI_NORMAL_OBJECT	normal object
JVMPPI_CLASS	array of objects
JVMPPI_BOOLEAN	array of booleans
JVMPPI_BYTE	array of bytes
JVMPPI_CHAR	array of chars
JVMPPI_SHORT	array of shorts
JVMPPI_INT	array of ints
JVMPPI_LONG	array of longs
JVMPPI_FLOAT	array of floats
JVMPPI_DOUBLE	array of doubles

vl: values, exact size depends on the type of value:

boolean, byte	u1
short, char	u2
int, float	u4
long, double	u8
JNIEnv *, jobjectID, and JVMPPI_RawMonitor	sizeof(void *)

4.2 Heap Dump Format

The heap dump format depends on the level of information requested.

JVMPPI_DUMP_LEVEL_0:

The dump consists of a sequence of records of the following format:

ty	type of object
jobjectID	object

JVMPI_DUMP_LEVEL_1:

The dump format is the same as that of `JVMPI_DUMP_LEVEL_2`, except that the following values are excluded from the dump: primitive fields in object instance dumps, primitive static fields in class dumps, and primitive array elements.

JVMPI_DUMP_LEVEL_2:

The dump consists of a sequence of records, where each record includes an 8-bit record type followed by data whose format is specific to each record type.

Record type	Record data	
<code>JVMPI_GC_ROOT_UNKNOWN</code> (unknown root)	jobjectID	object
<code>JVMPI_GC_ROOT_JNI_GLOBAL</code> (JNI global ref root)	jobjectID jobject	object JNI global reference
<code>JVMPI_GC_ROOT_JNI_LOCAL</code> (JNI local ref)	jobjectID JNIEnv * u4	object thread frame # in stack trace (-1 for empty)
<code>JVMPI_GC_ROOT_JAVA_FRAME</code> (Java stack frame)	jobjectID JNIEnv * u4	object thread frame # in stack trace (-1 for empty)
<code>JVMPI_GC_ROOT_NATIVE_STACK</code> (native stack)	jobjectID JNIEnv *	object thread
<code>JVMPI_GC_ROOT_STICKY_CLASS</code> (system class)	jobjectID	class object
<code>JVMPI_GC_ROOT_THREAD_BLOCK</code> (reference from thread block)	jobjectID JNIEnv *	thread object thread
<code>JVMPI_GC_ROOT_MONITOR_USED</code> (entered monitor)	jobjectID	object
<code>JVMPI_GC_CLASS_DUMP</code> (dump of a class object)	jobjectID jobjectID jobjectID jobjectID jobjectID void * void * u4 [jobjectID]* u2 [u2, ty,	class super class loader signers protection domain reserved reserved instance size (in bytes) interfaces size of constant pool constant pool index, type,

	[vl]*	value
	[vl]*	static field values
JVMPI_GC_INSTANCE_DUMP (dump of a normal object)	jobjectID jobjectID u4 [vl]*	object class number of bytes that follow instance field values (class, followed by super, super's super ...)
JVMPI_GC_OBJ_ARRAY_DUMP (dump of an object array)	jobjectID u4 jobjectID [jobjectID]*	array object number of elements element class ID (may be NULL in JDK 1.2) elements
JVMPI_GC_PRIM_ARRAY_DUMP (dump of a primitive array)	jobjectID u4 ty [vl]*	array object number of elements element type elements

4.3 Object Dump Format

The dump buffer consists of a single record which includes an 8-bit record type, followed by data specific to the record type. The record type can be one of the following:

- JVMPI_GC_CLASS_DUMP
- JVMPI_GC_INSTANCE_DUMP
- JVMPI_GC_OBJ_ARRAY_DUMP
- JVMPI_GC_PRIM_ARRAY_DUMP

The format of the data for each record type is the same as described above in the heap dump format section. The level of information is the same as `JVMPI_DUMP_LEVEL_2`, with all of the following values included: primitive fields in object instance dumps, primitive static fields in class dumps, and primitive arrays elements.

4.4 Monitor Dump Format

The dump buffer consists of a sequence of records, where each record includes an 8-bit record type followed by data whose format is specific to each record type.

Record type	Record data
JVMPI_MONITOR_JAVA (Java monitor)	jobjectID JNIEnv * u4 u4 [JNIEnv *]* u4 [JNIEnv *]*

JVMPI_MONITOR_RAW (Raw monitor)	char * raw monitor name JVMPI_RawMonitor raw monitor ID JNIEnv * owner thread u4 entry count u4 number of threads waiting to enter [JNIEnv **] * threads waiting to enter u4 number of threads waiting to be notified [JNIEnv **] * threads waiting to be notified
------------------------------------	---

5. Data Types

Characters are encoded using the UTF-8 encoding as documented in the Java virtual machine specification.

jobjectID

An opaque pointer representing an object ID.

```
struct _jobjectID;
typedef struct _jobjectID * jobjectID;
```

JVMPI_CallFrame

A method being executed.

```
typedef struct {
    jint lineno;
    jmethodID method_id;
} JVMPI_CallFrame;
```

Fields:

line_number - line number in the source file.
method_id - method being executed.

JVMPI_CallTrace

A call trace of method execution.

```
typedef struct {
    JNIEnv *env_id;
    jint num_frames;
    JVMPI_CallFrame *frames;
} JVMPI_CallTrace;
```

Fields:

`env_id` - ID of thread which executed this trace.
`num_frames` - number of frames in the trace.
`frames` - the JVMPI_CallFrames that make up this trace. Callee followed by callers.

JVMPI_Field

A field defined in a class.

```
typedef struct {
    char *field_name;
    char *field_signature;
} JVMPI_Field;
```

Fields:

`field_name` - name of field
`field_signature` - signature of field

JVMPI_HeapDumpArg

Additional info for requesting heap dumps.

```
typedef struct {
    jint heap_dump_level;
} JVMPI_HeapDumpArg;
```

Fields:

`heap_dump_level` - level of heap dump information, values can be:

```
JVMPI_DUMP_LEVEL_0
JVMPI_DUMP_LEVEL_1
JVMPI_DUMP_LEVEL_2
```

JVMPI_Linenos

A mapping between source line number and offset from the beginning of a compiled method.

```
typedef struct {
    jint offset;
    jint lineno;
} JVMPI_Linenos;
```

Fields:

`offset` - offset from beginning of method
`lineno` - lineno from beginning of source file

JVMPI_Method

A method defined in a class.

```
typedef struct {
    char *method_name;
    char *method_signature;
    jint start_lineno;
    jint end_lineno;
    jmethodID method_id;
} JVMPI_Method;
```

Fields:

method_name	- name of method
method_signature	- signature of method
start_lineno	- start line number in the source file
end_lineno	- end line number in the source file
method_id	- ID given to this method

JVMPI_RawMonitor

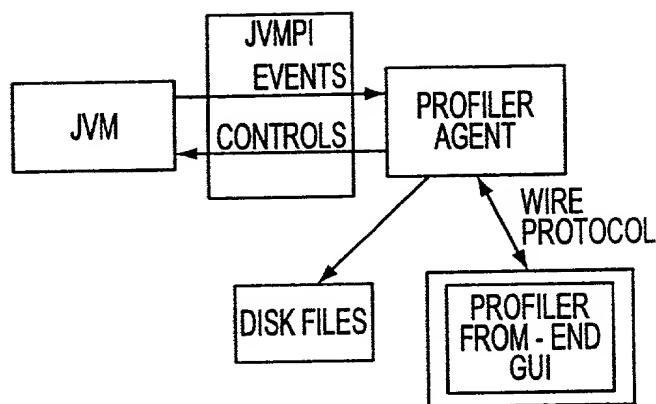
An opaque pointer representing a raw monitor.

```
struct _JVMPI_RawMonitor;
typedef struct _JVMPI_RawMonitor * JVMPI_RawMonitor;
```

6. Notes on JDK1.2 Implementation Limitations

- `JVMPI_EVENT_OBJECT_ALLOC` events for object arrays are issued with unknown element class IDs (i.e., the `class_id` field is always `NULL`).
- On Win32 the following events are not yet supported in the presence of the JIT compiler:
`JVMPI_EVENT_METHOD_ENTRY`,
`JVMPI_EVENT_METHOD_ENTRY2`,
`JVMPI_EVENT_METHOD_EXIT`,
`JVMPI_EVENT_COMPILED_METHOD_LOAD`, and
`JVMPI_EVENT_COMPILED_METHOD_UNLOAD`,
- `SuspendThread` must be called with the GC is disabled. GC must remain disabled until all threads have been resumed.
- The thread start event for the main thread (first thread the VM creates) may arrive after some other events that refer to its `JNIEnv` interface pointer.
- `JVMPI_EVENT_ARENA_NEW` and `JVMPI_EVENT_ARENA_DELETE` events are never issued. Arena IDs in other events are always set to 1.

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FIGURE

A METHOD FOR ENABLING COMPREHENSIVE PROFILING OF GARBAGE-
COLLECTED MEMORY SYSTEMS

FIELD OF THE INVENTION

The present invention relates to computer software, and more specifically to enabling comprehensive profiling of garbage-collected memory systems.

BACKGROUND OF THE INVENTION

5 Despite the rapid growth in memory sizes of computers, storage in which data is placed in a computer requires careful conservation and recycling. Some programming languages support static allocation of storage space while others support dynamic allocation. Static allocation connotes that storage space is determined at compilation of the computer program. In the case of dynamic allocation, storage space is determined
10 during execution of the programs, known as "run-time". The area of dynamic memory reserved for storage space that is determined at run-time is referred to as a "heap".

15 Many programming languages place the responsibility with the programmer to explicitly allocate storage and explicitly dispose or free that storage when it is no longer needed. Thus, when the programmer has the responsibility of the allocating and recycling or reclaiming of storage in dynamic memory, the memory management is said to be manually performed or "explicit".

20 An individually allocated piece of memory in the heap may be referred to herein as an "object". The goal is to dispose of unused or dead objects by freeing such objects and returning the space associated with such objects to the "free list" or "free pool". The "free list" or "free pool" is the set of unallocated usable spaces in the heap. However, with explicit allocation and deallocation, some objects cannot be deallocated or used because they have become "unreachable". The space occupied by an unreachable object is said to have "leaked" away. In addition, explicit deallocation may result in "dangling references". To illustrate, consider the following.

25 Data that is dynamically allocated in memory is usually accessible by the user program through the roots of the computation of the user program, or by following chains of pointers ("references") from these roots. The roots of the computation of a user program are those locations that hold values that a user program can manipulate directly. Processor registers and the program stack are examples of roots of the computation. Thus,

- an object in the heap is said to be "live" if its address is held in a root, or there is a reference to it held in another live object in the heap. Otherwise, the object is said to be "dead". An object becomes unreachable when the last reference to the object is destroyed before the object is deallocated. The object is no longer live if there are no references to it.
- 5 In addition, such an object cannot be freed (deallocated) because it is unreachable, as there are no references leading to it. Objects that are neither live nor free cannot be used or recycled by explicit allocation and deallocation, and are called "garbage". Thus, unreachable dead objects are "garbage".

"Dangling references" occur when an object in the heap is deallocated while there
10 are still references to the object. Dangling references can produce incorrect results. For example, if the memory location of the object that was deallocated while there were still references to it is reallocated to another of the user program's data structures, then the same memory location would represent two different objects.

Automatic dynamic memory management can obviate the problem of unreachable
15 objects and dangling references. In one approach, automatic dynamic memory management involves assigning the responsibility for dynamic memory management to the program's run-time system. The programmer must still request dynamically allocated storage to be reserved but the programmer no longer needs to recycle the storage because the recycling is done automatically through a process called garbage collection. Garbage
20 collection can recover unreachable objects by returning the space associated with such objects to the free list or free pool. In addition, garbage collection avoids dangling references by not deallocating any object while there remains references to it from other live objects.

Furthermore, garbage collection may be useful in correcting the problem of
25 "fragmentation". Fragmentation occurs in the course of allocating objects of different sizes in the heap. There are various strategies for choosing the optimum space in the heap to allocate to an object. However, such strategies usually result in areas or "fragments" of free pool in the heap. Sometimes the fragments are too small to be useful and are not contiguous and therefore, cannot be combined. For example, FIG. 1 illustrates a heap 100.
30 The shaded areas 102, 104, 106, 108, 110 indicate storage space already in use in heap 100. The non-shaded areas, 101, 103, 105 indicate fragments of free pool in heap 100. Assume that none of the fragments of free pool are large enough to meet the current request for allocation of an object. Even though the free pool is not empty, an object

cannot be allocated in the heap if none of the fragments are large enough. Thus, garbage collection can be employed to reclaim storage space not just when the "free-pool" is empty but also to automatically perform compaction of the heap storage space that is in use.

Garbage collection is one of many features associated with profiling a given user program such as an application program. "Profiling" describes the monitoring and tracing of events that occur during run-time. For example, a profiling tool may provide information on how much or how frequently dynamic memory is allocated by each portion of the executing application program. As will be explained in greater detail, some of the dynamic memory allocation information can be extracted from the object allocation process and the garbage collection process.

In one approach, profiling tools are specifically customized and instrumented for a specific platform. Typically, tool vendors specialize in providing tools that are only suitable for certain platforms. For example, different virtual machine vendors provide myriad Java™ virtual machine implementations. Java™ is an object-oriented language, the source code files of which are compiled into a format called bytecode. Bytecode can be executed on any machine on which Java run-time system software is installed. The Java™ run-time system is known as a Java™ virtual machine, a self-contained operating environment that behaves as if it is a separate computer. The Java™ virtual machine translates the bytecode's set of instructions into the underlying computer processor's set of instructions. Thus, a Java™ application will execute in any Java™ virtual machine regardless of the hardware and software underlying the system. It would be highly desirable if users were given the choice of using any profiling tool that is available in the market without worrying if the tool is compatible with the user's particular implementation of Java™ virtual machine.

Based on the foregoing, it is desirable to develop a mechanism that supports a wide variety of profiling tools for garbage collected memory systems.

SUMMARY OF THE INVENTION

A method and system are provided for allowing a profiler to communicate with a virtual machine without regard to the specific implementation of the virtual machine. A wide variety of profilers can be accommodated by using a set of virtual machine profiler interface events that are designed to be independent of any method for dynamically managing storage allocation and deallocation in a heap within the virtual machine process.

The profiler may request specific information with respect to storage allocation and or deallocation in the heap. In response, the virtual machine can send the requested information using the virtual machine profiler interface events.

In other aspects, the invention encompasses a computer apparatus, a computer-readable medium, and a carrier wave configured to carry out the foregoing techniques.

Many other aspects and features will become apparent from the following description.

BRIEF DESCRIPTION OF THE DRAWINGS

10 The present invention is illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings and in which like reference numerals refer to similar elements and in which:

FIG. 1 is a block diagram illustrating fragmentation of a heap;

15 FIG. 2 is a block diagram illustrating a profiling architecture according to one embodiment of the invention; and

FIG. 3 is a block diagram that illustrates a computer system 300 upon which an embodiment of the invention may be implemented.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

20 Techniques for enabling comprehensive profiling of Java™ virtual machines are described. In the following description, for the purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be apparent, however, to one skilled in the art that the present invention may be practiced without these specific details. In other instances, well-known structures and 25 devices are shown in block diagram form in order to avoid unnecessarily obscuring the present invention.

Although certain embodiments have been described using the Java™ programming language, the present invention can be practiced on a variety of programming languages, and as such should not be seen as limited to only the Java™ programming language.

30 FUNCTIONAL OVERVIEW

One embodiment of the invention is a general-purpose profiling interface between a virtual machine and the front-end of a profiler. The profiling interface is general enough

to support a wide variety of profilers and virtual machine implementations. A general-purpose profiling interface offers at least two advantages over direct profiling support in the virtual machine implementation.

First, various profilers are not restricted to one format in presenting profiling information nor are they restricted in the types of profiling information they can present. For example, one profiler may simply record events that occur in the virtual machine trace file. Alternatively, another profiler may display information in response to interactive user input.

Another advantage of a general-purpose profiling interface is that a given profiler can be used with different virtual machine implementations, which support the same general-purpose profiling interface. This allows for profiling tool vendors and virtual machine vendors to leverage each other's products effectively.

FIG. 2 is a block diagram illustrating the overall profiler architecture according to one embodiment of the invention. The virtual machine profiler interface ("VMPI") 215 is interposed between the virtual machine 210 and the profiler agent 220. Typically, VMPI 215, virtual machine 210 and profiler agent 220 reside in a virtual machine process 200. Profiler agent 220 is responsible for communication between the VMPI 215 and a profiler front-end 260, which typically resides in a process that is separate from virtual machine process 200. In one embodiment of the invention, profiler front-end 260 resides in a profiler process 250, which is separate from virtual machine process 200 to ensure that the resources consumed by profiler front-end 260 are not attributed to the profiled user application. Specifications for an exemplary virtual machine profiler interface, "VMPI", for a Java™ virtual machine is attached hereto as Appendix A.

Profiler agent 220 can be programmed to delegate resource-intensive tasks to profiler front-end 260. Thus, even though profiler agent 220 runs on virtual machine process 200, profiler agent 220 does not overly distort the profiling information on the user application.

VMPI 215 is a two-way function call interface between virtual machine 210 and profiler agent 220. Typically, profiler agent 220 is implemented as a dynamically loaded library. Virtual machine 210 makes VMPI function calls to inform profiler agent 220 about various events that occur during the execution of the user application program as indicated by an arrow 216 of FIG. 2. Profiler agent 220 responds by calling back into virtual machine 210, as indicated by an arrow 217 of FIG. 2, for the purpose of

accomplishing one or more tasks. For example, based on the needs of profiler front-end 260, profiler agent 220 may disable or enable certain types of events (“VMPI events”) as they are sent through VMPI 215. The following is an example of how virtual machine 210 and profiler agent 220 may use VMPI function calls in one embodiment of the invention.

5

Profiler agent 220 may register the types of events in which it is interested by calling VMPI function:

```
jint (*EnableEvent)(jint event_type, void *arg)
```

10

Alternatively, Profiler agent 220 may call the following VMPI function to request a particular type of event:

```
jint (*RequestEvent)(jint event_type, void *arg)
```

In response, virtual machine 210 may send an event that was requested by profiler agent 220 by calling the following VMPI function:

```
void (*NotifyEvent) (JVMPI_Event *event)
```

15

VMPI function calls are explained in greater detail in Appendix A.

In one embodiment of the invention, VMPI events may be data structures consisting of an integer indicating the VMPI event type, an identifier of the thread in which the VMPI event occurred, followed by information that is specific to the VMPI event. Examples of some VMPI events are:

20

`VMPI_Event_GC_Start`

`VMPI_Event_GC_Finish`

As an illustration, virtual machine 210 sends the `VMPI_Event_GC_Start` event before the start of garbage collection ,and when garbage collection is completed, virtual machine 210 sends the `VMPI_Event_GC_Finish` event. These and other VMPI events are explained in greater detail in Appendix A.

ALLOCATION AND GARBAGE COLLECTION EVENTS

In one embodiment of the invention, VMPI 215 is a flexible and yet comprehensive interface that uniformly accommodates a wide variety of memory allocation and garbage collection methods. Implicit in garbage collection methods are methods for memory allocation. Appendix A contains a detailed description of

representative VMPI events. However, the focus is on the following set of profiling interface events that support all known types of garbage collection methods. Such profiling events are herein referred to as garbage collection algorithm-independent events since they support all known types of garbage collection methods and are further described 5 in greater detail in Appendix A. These garbage collection algorithm-independent events may be used by virtual machine 210 to convey profiling information to profiler agent 220.

The abstract concept of a “heap arena” is used for describing allocation of new objects within the heap. A heap arena describes a logically partitioned portion of the heap. In one embodiment of the invention, all object allocations occur in a given heap arena 10 even when there are a plurality of arenas. After the objects are allocated, they may be moved from one heap arena to another as explained below. To help explain the set of events, each event is described by its components. The first component is the event-type. The second component is the event-specific information contained in a pair of parentheses following the event-type.

15 new_arena (arena ID)
 delete_arena (arena ID)
 new_object (arena ID, object ID, class ID)
 delete_object (arena ID)
 move_object (old arena ID, old object ID, new arena ID, new object ID)

20 For example, “new_arena” is the event type and “arena ID” is the corresponding event-specific information. The virtual machine interface refers to entities in the virtual machine by various types of IDs. Threads, classes, methods, objects, heap arenas all have unique IDs. The subject of IDs is further detailed in Appendix A. In brief, each ID has a defining event and an undefining event. A defining event provides information related to 25 the ID. For example, the defining event for an “object ID” contains, among other entries, the class ID of the object. The defining event for an object ID is “object allocate”. The object ID remains valid until one of its undefining events arrive. The undefining events for an object ID are as follows:

30 The “object free” event, which invalidates an object ID.

The “object move” event, which signifies that the object still exists but its ID is changed, and or that it may have been moved to another heap arena.

The “arena delete” event, which invalidates all remaining object IDs in the heap arena.

5

When an object ID is invalidated either by an “object free” event or an “arena delete” event, the object is said to be “garbage collected”.

Virtual machine 210 sends a “new arena” event to profiler agent 220 via VMPI 215 when a new heap arena for allocating objects is created. In one embodiment of the invention, the “new_arena” event may be described as follows in a Java™ virtual machine implementation.

```
Struct {
    jint arena_id;
    char *arena_name;
} new_arena;
```

The “arena_id” is the ID assigned to the new heap arena, and “arena_name” is the name of the new heap arena.

Similarly, virtual machine 210 sends a “delete_arena” event to profiler agent 220 through VMPI 215 when freeing all the objects remaining in the particular heap arena. Thus, profiler agent 220 is apprised of which objects remain in the heap arena by keeping track of the object allocations in the heap arena as well as the movement of objects in and out of the heap arena. In one embodiment of the invention, the “delete_arena” event may be described as follows in a Java™ virtual machine implementation. Note that the arena_id is the ID of the heap arena being deleted.

```
Struct {
    jint arena_id;
} delete_arena;
```

30

Virtual machine 210 sends to profiler agent 220 a “new_object” event, also known as an “object_allocate” event when an object is allocated, or when profiler agent 220

requests such an event. In one embodiment of the invention, the “object_allocate” event may be described as follows in a Java™ virtual machine implementation.

```
Struct {  
    5     jint arena_id;  
    jobjectID class_id;  
    jint is_array;  
    jint size;  
    jobjectID obj_id;  
10    } obj_alloc;
```

“Arena_id” identifies the heap arena where the objects are to be allocated. “Class_id” either identifies the class to which the object that are to be allocated belong, or the array element class is the “is_array” has a value of “JVMPI_Class”. The “is_array” 15 can have values that indicate that it is a normal object, an array of objects, an array of Boolean expressions, etc. Further details may be found in Appendix A. “Size” is the number of bytes, and “obj_id” is the unique object ID.

Virtual machine 210 sends to profiler agent 220 a “delete_object” event, also known as an “object_free” event when an object is freed. In one embodiment of the 20 invention, the “object_free” event may be described as follows in a Java™ virtual machine implementation.

```
Struct {  
    jobjectID obj_id;  
25    } obj_free;
```

“Obj_id” identifies the object being freed.
30 Virtual machine 210 sends to profiler agent 220 a “move_object” event, also known as an “object_move” event when an object is moved to a new location in the heap. In one embodiment of the invention, the “object_move” event may be described as follows in a Java™ virtual machine implementation.

```

Struct {
    jint arena_id;
    jobjectID obj_id;
5     jint new_arena_id;
    jobjectID new_obj_id;
} obj_move;

```

“Arena_id” identifies the current heap arena where the object resides. “Obj_id” identifies the current object ID of the object to be moved. “New_arena_id” identifies the 10 new heap arena to which the object is to be moved. “New_obj_id” is the new ID assigned to the object after it is moved.

The use of allocation and garbage collection events are described below in connection with various garbage collection methods, such as the Mark-and-Sweep garbage collector, the Mark-Compact garbage collector, the Two-Space Copying garbage collector, 15 the Generational garbage collector, and the Reference-Counting garbage collector.

PROFILING INFORMATION

The “new_arena” and “delete_arena” events, as in the case of Generational garbage collection, provide useful profiling information such as the number of generations of objects, which objects are most likely to die young, and the relative age of the objects.

20 The “new_object” and “delete_object” events provide profiling information such as when, which and how many objects are allocated and deleted, as well as information on the classes to which the objects belong.

The “move_object” event, as in the case of the Mark-Compact garbage collection, would provide profiling information such as how frequently compaction had to be 25 performed and what are the associated costs. The frequent need for compaction may be a reflection of the unsuitability of the allocation scheme for the particular user program application. In the case of Generational garbage collection, the “move_object” provides information as to survivability of objects. The longer surviving objects are moved to older heap arenas (older generations).

30 The combination of all five events provide information on the number of live objects that the program is using at any given time. Such information is highly useful in profiling garbage-collected memory systems.

MARK-AND-SWEEP GARBAGE COLLECTOR

The invention may be used with a Mark-and-Sweep garbage collector. The Mark-and-Sweep collector is an automatic storage and reclamation tracing garbage collection technique. Whether an object is alive may be determined directly or indirectly. The 5 indirect methods are also referred to as “tracing” methods. Direct methods require for each object in the heap that a record be maintained of all references to that object from other heap objects or roots. In contrast, indirect or tracing garbage collectors visit all reachable objects, starting from the roots of the computation and by following pointers. Thus, the objects that were not visited by the garbage collector are made available for 10 recycling.

Under the Mark-and-Sweep method, objects are not reclaimed immediately after they become garbage, but remain unreachable and undetected until all available storage in the heap is exhausted. If a request is then made for storage space, useful processing is temporarily suspended while the garbage collector is called to sweep all currently unused 15 objects from the heap back into the free-pool. The Mark-and-Sweep collector, being a tracing collector, relies on a global traversal of all live objects to determine which objects are available for reclamation. The traversal of the live objects is the “marking” phase and the reclamation of unused objects is the “sweep” phase. During the “marking” phase, a bit associated with each cell, known as the “mark-bit” is reserved for use by the mark-and- 20 sweep collector. The collector uses the “mark-bit” to record if the object is reachable from the roots of the computation. The mark-bit is set as each reachable object is visited. During the “sweep” phase, the unmarked objects are returned to the free-pool.

When used in conjunction with the allocation and garbage collection events described above, the Mark-and-Sweep collector would issue a “new_object” event when 25 allocating objects. Only one heap arena is needed. The collector sends a “delete_object” event when returning dead objects to the free-pool.

MARK-SWEEP-COMPACT GARBAGE COLLECTOR

The invention may be used with a Mark-Compact garbage collector. Under the Mark-Compact scheme, in addition to marking live objects in the heap, objects are 30 relocated within the heap and the values of pointers that referred to the relocated objects are updated. The marked (live) objects are relocated in order to compact the heap. At the end of the compaction phase, the heap will be divided into two contiguous areas. One area

will hold the active or live objects while the other area will hold the free-pool. There are several schemes related to the placement of the relocated objects in the heap. For example, under the "arbitrary" scheme, the live objects may be relocated without regard for their original order, or whether they point to one another. However, such a scheme often leads to reduced virtual memory performance. Under the "linearizing" scheme, live objects that originally pointed to one another are moved into adjacent positions. Under the "sliding" scheme, live objects are slid to one end of the heap.

When used in conjunction with the allocation and garbage collection events described above, the Mark-Compact collector would issue a "new_object" event when allocating objects and a "delete_object" event when returning dead objects to the free-pool. In addition, the collector would issue a "move_object" event in order to perform compaction of the heap. Only one heap arena is used in this case because the old and new heap arena IDs in the "move_object" event are the same. In this case, the relocation of the live objects can be viewed as simply reshuffling the positions of the live objects within the same area. Thus, there is no need for the abstraction of separate multiple heap arenas.

TWO-SPACE-COPYING GARBAGE COLLECTOR

The invention may be used with a Two-Space-Copying garbage collector. The Two-Space-Copying collector is tracing collector. Under the Two-Space-Copying scheme, the collector first divides the heap equally into two semi-spaces before any allocation of objects is performed. Allocation of objects occurs only in one of the semi spaces, referred to herein as the "Fromspace". The other semi space, referred to herein as the "Tospace", is reserved for later use. When garbage collection begins, the collector traverses the live objects in the "Fromspace" and copies each live object to the "Tospace". After all the live objects in the "Fromspace" have been traced, a replica of the live objects has been created in the "Tospace", and the user application program is restarted. The garbage objects are abandoned in the "Fromspace". The collector is said to "scavenge" the live objects from amidst the garbage.

When used in conjunction with the allocation and garbage collection events described above, the Two-Space-Copying collector would issue two "new_arena" events to create two heap arenas representing the two semi-spaces. The collector would issue a "new_object" when allocating objects. During garbage collection, the collector would issue "move_object" events as it scavenges the live objects for placement into the reserved heap

arena. Once all the live objects have been scavenged from the old heap arena, the collector sends a “delete_arena” event to delete the old heap arena and thereby freeing all the objects in the old heap arena. Next, in order to restore the two-space scheme, the collector would issue a “new_arena” event using the same arena_id as the old heap arena that was deleted.

GENERATIONAL GARBAGE COLLECTOR

The invention may be used with a Generational garbage collector. Under the Generational garbage collection scheme, objects are segregated by age into two or more regions of the heap called generations. Different generations can then be garbage collected at different frequencies, with the youngest generation being collected more frequently than the older generations. Objects are first allocated in the youngest generation, but are promoted into older generations if they survive long enough.

When used in conjunction with the allocation and garbage collection events described above, the Generational collector would issue a “new_arena” event to create an heap arena for each generation of objects. In addition, the Generational collector would issue a “new_object” to allocate objects in the youngest generation. The collector would issue a “move_object” event as live objects are scavenged from a younger generation to an older generation. All objects (garbage) in an heap arena are implicitly freed when the collector sends a “delete_arena” event to delete the youngest generation at any given time.

Next, in order to restore the generational scheme, the collector would issue a “new_arena” event using the same arena-id as the youngest generation that was deleted.

REFERENCE-COUNTING GARBAGE COLLECTOR

The Reference-Counting garbage collector is a direct method of storage reclamation. Under the Reference-Counting scheme, each object has a reference count field used for counting the number of references to the object from other live objects or roots. Free objects have a reference count of zero. When a new object is allocated from the free-pool, its reference count is set to one. Each time a pointer is set to refer to an object, the value of the object’s counter is increased by one. Likewise, when a reference to an object is deleted, the object’s counter is decreased by one. When an object’s counter drops to zero, there are no more pointers to the object and the object becomes garbage.

When used in conjunction with the allocation and garbage collection events described above, the Reference-Counting collector sends a “new_object” event each time an object is allocated, and it sends a “delete_object” event when the reference count of an object drops to zero.

5 IMPLEMENTATION MECHANISMS

A. OVERVIEW

The approach described herein for comprehensive profiling of Java™ virtual machines may be implemented in computer software, in hardware circuitry, or as a combination of computer software and hardware circuitry. Accordingly, the invention is 10 not limited to a particular computer software or hardware circuitry implementation.

The present invention is both flexible and powerful enough to meet the needs of a wide variety of virtual machine implementations and profiling tools. The invention’s power lies in its provision for capturing the behavior of memory systems of the virtual machine using a set of events that are independent of any underlying garbage collection 15 algorithm that has been implemented in the virtual machine.

Although certain embodiments have been described using the Java™ programming language, the present invention can be practiced on a variety of programming languages, and as such should not be seen as limited to only the Java™ programming language.

B. IMPLEMENTATION HARDWARE

20 FIG. 3 is a block diagram that illustrates a computer system 300 upon which an embodiment of the invention may be implemented. Computer system 300 includes a bus 302 or other communication mechanism for communicating information, and a processor 304 coupled with bus 302 for processing information. Computer system 300 also includes a main memory 306, such as a random access memory (RAM) or other dynamic storage 25 device, coupled to bus 302 for storing information and instructions to be executed by processor 304. Main memory 306 also may be used for storing temporary variables or other intermediate information during execution of instructions to be executed by processor 304. Computer system 300 further includes a read only memory (ROM) 308 or other static storage device coupled to bus 302 for storing static information and instructions for

processor 304. A storage device 310, such as a magnetic disk or optical disk, is provided and coupled to bus 302 for storing information and instructions.

Computer system 300 may be coupled via bus 302 to a display 312, such as a cathode ray tube (CRT), for displaying information to a computer user. An input device 5 314, including alphanumeric and other keys, is coupled to bus 302 for communicating information and command selections to processor 304. Another type of user input device is cursor control 316, such as a mouse, a trackball, or cursor direction keys for communicating direction information and command selections to processor 304 and for controlling cursor movement on display 312. This input device typically has two degrees of freedom in two 10 axes, a first axis (e.g., x) and a second axis (e.g., y), that allows the device to specify positions in a plane.

The invention is related to the use of computer system 300 for implementing comprehensive profiling of Java™ virtual machines. According to one embodiment of the invention, implementing comprehensive profiling of Java™ virtual machines is provided 15 by computer system 300 in response to processor 304 executing one or more sequences of one or more instructions contained in main memory 306. Such instructions may be read into main memory 306 from another computer-readable medium, such as storage device 310. Execution of the sequences of instructions contained in main memory 306 causes processor 304 to perform the process steps described herein. One or more processors in a 20 multi-processing arrangement may also be employed to execute the sequences of instructions contained in main memory 306. In alternative embodiments, hard-wired circuitry may be used in place of or in combination with software instructions to implement the invention. Thus, embodiments of the invention are not limited to any specific combination of hardware circuitry and software.

25 The term "computer-readable medium" as used herein refers to any medium that participates in providing instructions to processor 304 for execution. Such a medium may take many forms, including but not limited to, non-volatile media, volatile media, and transmission media. Non-volatile media includes, for example, optical or magnetic disks, such as storage device 310. Volatile media includes dynamic memory, such as main 30 memory 306. Transmission media includes coaxial cables, copper wire and fiber optics, including the wires that comprise bus 302. Transmission media can also take the form of acoustic or light waves, such as those generated during radio wave and infrared data communications.

- Common forms of computer-readable media include, for example, a floppy disk, a flexible disk, hard disk, magnetic tape, or any other magnetic medium, a CD-ROM, any other optical medium, punch cards, paper tape, any other physical medium with patterns of holes, a RAM, a PROM, and EPROM, a FLASH-EPROM, any other memory chip or cartridge, a carrier wave as described hereinafter, or any other medium from which a computer can read.
- 5

Various forms of computer readable media may be involved in carrying one or more sequences of one or more instructions to processor 304 for execution. For example, the instructions may initially be carried on a magnetic disk of a remote computer. The remote
10 computer can load the instructions into its dynamic memory and send the instructions over a telephone line using a modem. A modem local to computer system 300 can receive the data on the telephone line and use an infrared transmitter to convert the data to an infrared signal. An infrared detector coupled to bus 302 can receive the data carried in the infrared signal and place the data on bus 302. Bus 302 carries the data to main memory 306, from which
15 processor 304 retrieves and executes the instructions. The instructions received by main memory 306 may optionally be stored on storage device 310 either before or after execution by processor 304.

Computer system 300 also includes a communication interface 318 coupled to bus 302. Communication interface 318 provides a two-way data communication coupling to a network link 320 that is connected to a local network 322. For example, communication interface 318 may be an integrated services digital network (ISDN) card or a modem to provide a data communication connection to a corresponding type of telephone line. As another example, communication interface 318 may be a local area network (LAN) card to provide a data communication connection to a compatible LAN. Wireless links may also be implemented. In any such implementation, communication interface 318 sends and receives electrical, electromagnetic or optical signals that carry digital data streams representing various types of information.

25

Network link 320 typically provides data communication through one or more networks to other data devices. For example, network link 320 may provide a connection
30 through local network 322 to a host computer 324 or to data equipment operated by an Internet Service Provider (ISP) 326. ISP 326 in turn provides data communication services through the world wide packet data communication network now commonly referred to as the "Internet" 328. Local network 322 and Internet 328 both use electrical,

electromagnetic or optical signals that carry digital data streams. The signals through the various networks and the signals on network link 320 and through communication interface 318, which carry the digital data to and from computer system 300, are exemplary forms of carrier waves transporting the information.

- 5 Computer system 300 can send messages and receive data, including program code, through the network(s), network link 320 and communication interface 318. In the Internet example, a server 330 might transmit a requested code for an application program through Internet 328, ISP 326, local network 322 and communication interface 318. In accordance with the invention, one such downloaded application provides for
- 10 implementing comprehensive profiling of Java™ virtual machines as described herein.

The received code may be executed by processor 304 as it is received, and/or stored in storage device 310, or other non-volatile storage for later execution. In this manner, computer system 300 may obtain application code in the form of a carrier wave.

- 15 In the foregoing specification, the invention has been described with reference to specific embodiments thereof. It will, however, be evident that various modifications and changes may be made thereto without departing from the broader spirit and scope of the invention. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense.
-

Java Virtual Machine Profiler Interface (JVMPi)

This document describes the Java Virtual Machine Profiler Interface (JVMPi) in JDK 1.2. It is intended for tools vendors to develop profilers that work in conjunction with Sun's Java virtual machine implementation.

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1. Overview

The JVMPi is a two-way function call interface between the Java virtual machine and an in-process profiler agent. On one hand, the virtual machine notifies the profiler agent of various events, corresponding to, for example, heap allocation, thread start, etc. On the other hand, the profiler agent issues controls and requests for more information through the JVMPi. For example, the profiler agent can turn on/off a specific event notification, based on the needs of the profiler front-end.

The profiler front-end may or may not run in the same process as the profiler agent. It may reside in a different process on the same machine, or on a remote machine connected via the network. The JVMPPI does not specify a standard wire protocol. Tools vendors may design wire protocols suitable for the needs of different profiler front-ends.

A profiling tool based on JVMPPI can obtain a variety of information such as heavy memory allocation sites, CPU usage hot-spots, unnecessary object retention, and monitor contention, for a comprehensive performance analysis.

JVMPPI supports partial profiling, i.e a user can selectively profile an application for certain subsets of the time the virtual machine is up and can also choose to obtain only certain types of profiling information.

In the current version of JVMPPI, only one agent per virtual machine can be supported.

1.1. Start-up

The user can specify the name of the profiler agent and the options to the profiler agent through a command line option to the Java virtual machine. For example, suppose the user specifies:

```
java -Xrunmyprofiler:heapdump=on,file=log.txt ToBeProfiledClass
```

The VM attempts to locate a profiler agent library called `myprofiler` in Java's library directory:

- On Win32, it is `$JAVA_HOME\bin\myprofiler.dll`
- On SPARC/Solaris, it is `$JAVA_HOME/lib/sparc/libmyprofiler.so`

If the library is not found in the Java library directory, the VM continues to search for the library following the normal library search mechanism of the given platform:

- On Win32, the VM searches the current directory, Windows system directories, and the directories in the `PATH` environment variable.
- On Solaris, the VM searches the directories in `LD_LIBRARY_PATH`.

The VM loads the profiler agent library and looks for the entry point:

```
jint JNICALL JVM_OnLoad(JavaVM *jvm, char *options, void *reserved);
```

The VM calls the `JVM_OnLoad` function, passing a pointer to the `JavaVM` instance as the first argument, and string "`heapdump=on,file=log.txt`" as the second argument. The third argument to `JVM_OnLoad` is reserved and set to `NULL`.

On success, the `JVM_OnLoad` function must return `JNI_OK`. If for some reason the `JVM_OnLoad` function fails, it must return `JNI_ERR`.

1.2. Function Call Interface

The profiler agent can obtain a function call interface by issuing a `GetEnv` call on the `JavaVM` pointer. For example, the following code retrieves the version of JVMPPI interface that is implemented in JDK

1.2:

```
JVMPI_Interface *jvmpi_interface;

JNIEXPORT jint JNICALL JVM_OnLoad(JavaVM *jvm, char *options, void *reserved)
{
    int res = (*jvm)->GetEnv(jvm, (void **)&jvmpi_interface, JVMPI_VERSION_1);
    if (res < 0) {
        return JNI_ERR;
    }
    /* use entries in jvmpi_interface */
}
```

The `JVMPI_Interface` structure defines the function call interface between the profiler agent and the VM:

```
/* interface functions */
typedef struct {
    jint version;      /* JVMPI version */

    /* -----interface implemented by the profiler----- */
    void (*NotifyEvent)(JVMPI_Event *event);

    /* -----interface implemented by the JVM----- */

    jint (*EnableEvent)(jint event_type, void *arg);
    jint (*DisableEvent)(jint event_type, void *arg);
    jint (*RequestEvent)(jint event_type, void *arg);

    void (*GetCallTrace)(JVMPI_CallTrace *trace, jint depth);

    void (*ProfilerExit)(jint);

    JVMPI_RawMonitor (*RawMonitorCreate)(char *lock_name);
    void (*RawMonitorEnter)(JVMPI_RawMonitor lock_id);
    void (*RawMonitorExit)(JVMPI_RawMonitor lock_id);
    void (*RawMonitorWait)(JVMPI_RawMonitor lock_id, jlong ms);
    void (*RawMonitorNotifyAll)(JVMPI_RawMonitor lock_id);
    void (*RawMonitorDestroy)(JVMPI_RawMonitor lock_id);

    jlong (*GetCurrentThreadCpuTime)(void);
    void (*SuspendThread)(JNIEnv *env);
    void (*ResumeThread)(JNIEnv *env);
    jint (*GetThreadStatus)(JNIEnv *env);
    jboolean (*ThreadHasRun)(JNIEnv *env);
    jint (*CreateSystemThread)(char *name, jint priority, void (*f)(void *));
    void (*SetThreadLocalStorage)(JNIEnv *env_id, void *ptr);
    void * (*GetThreadLocalStorage)(JNIEnv *env_id);

    void (*DisableGC)(void);
    void (*EnableGC)(void);
    void (*RunGC)(void);

    jobjectID (*GetThreadObject)(JNIEnv *env);
    jobjectID (*GetMethodClass)(jmethodID mid);
} JVMPI_Interface;
```

The `GetEnv` function returns a pointer to a `JVMPI_Interface` whose `version` field indicates a `JVMPI` version that is compatible to the `version` number argument passed in the `GetEnv` call. Note that the value of the `version` field is not necessarily identical to the `version` argument passed in the

GetEnv call.

The JVMPPI_Interface returned by GetEnv has all the functions set up except for NotifyEvent. The profiler agent must set up the NotifyEvent function pointer before returning from JVM_OnLoad.

1.3. Event Notification

The VM sends an event by calling NotifyEvent with a JVMPPI_Event data structure as the argument. The following events are supported:

- method enter and exit
- object alloc, move, and free
- heap arena create and delete
- GC start and finish
- JNI global reference alloc and free
- JNI weak global reference alloc and free
- compiled method load and unload
- thread start and end
- class file data ready for instrumentation
- class load and unload
- contended Java monitor wait to enter, entered, and exit
- contended raw monitor wait to enter, entered, and exit
- Java monitor wait and waited
- monitor dump
- heap dump
- object dump
- request to dump or reset profiling data
- Java virtual machine initialization and shutdown

The JVMPPI_Event structure contains the event type, the JNIEnv pointer of the current thread, and other event-specific information. The event specific information is represented as a union of event-specific structures. The JVMPPI Events section provides a complete description of all event-specific structures. For now, we show the event-specific structures for class load and class unload below.

```

typedef struct {
    jint event_type;           /* event_type */
    JNIEnv *env_id;           /* env where this event occurred */

    union {
        struct {
            char *class_name;      /* class name */
            char *source_name;     /* name of source file */
            jint num_interfaces;  /* number of interfaces implemented */
            jint num_methods;     /* number of methods in the class */
            JVMPPI_Method *methods; /* methods */
            jint num_static_fields; /* number of static fields */
            JVMPPI_Field *statics; /* static fields */
            jint num_instance_fields; /* number of instance fields */
            JVMPPI_Field *instances; /* instance fields */
            jobjectID class_id;   /* id of the class object */
        } class_load;

        struct {
            jobjectID class_id;   /* id of the class object */
        } class_unload;
    } u;
} JVMPPI_Event;

```

... /* Refer to the section on JVMPPI events for a full listing */

```
    } JVMPI_Event;
```

1.4. JVMPI IDs

The JVMPI refers to entities in the Java virtual machine as various kinds of IDs. Threads, classes, methods, objects, heap arenas and JNI global references all have unique IDs.

Each ID has a defining event and an undefining event. A defining event provides the information related to an ID. For example, the defining event for a thread ID contains, among other entries, the name of the thread.

An ID is valid until its undefining event arrives. An undefining event invalidates the ID, whose value may be reused later as a different kind of ID. The value of a thread ID, for example, may be redefined as a method ID after the thread ends.

ID	data type	defining event	undefining event
thread ID	JNIEnv *	thread start	thread end
object ID	jobjectID	object alloc	object free, object move, and arena delete
class ID	jobjectID	class load	class unload and object move
method ID	jmethodID	defining class load	defining class unload
arena ID	jint	arena new	arena delete
JNI global ref ID	jobject	global ref alloc	global ref free

Assuming the defining events are enabled during the profiler initialization, the profiler agent is guaranteed to be notified of an entity's creation through a defining event, before the entity appears in other JVMPI events.

If the defining events are not enabled, the profiler agent may receive an unknown ID. In that case the profiler agent may request the corresponding defining event to be sent on demand by issuing a RequestEvent call.

IDs representing objects have type jobjectID. A class is represented by the object ID of the corresponding `java.lang.Class` object. Therefore, class IDs are also of type jobjectID.

A jobjectID is defined by an object alloc event, and remains valid in the arena in which the object is allocated until one of its undefining events arrive:

- An object free event invalidates an object ID.
- An object move event is a special type of undefining events. Unlike other undefining events which signal the end-of-life of the corresponding entities, the object still exists, but its ID changes, and it may have been moved to a new arena.
- An arena delete event invalidates all remaining object IDs in the arena.

When an object free or arena delete event invalidates an object ID, the object is known as being garbage collected.

Typically, the profiler agent maintains a mapping between jobjectIDs and its internal representation of object identities, and updates the mapping in response to the defining and undefining events for JVMPI object IDs.

Since object IDs may be invalidated during GC, the VM issues all events that contain jobjectID entries with GC disabled. In addition, the profiling agent must disable GC when it is directly

manipulating any jobjectID data types. Otherwise the GC may invalidate a jobjectID while it is being manipulated in the agent code. The profiler agent must make sure that GC is disabled when it calls a JVMPPI function that either takes a jobjectID argument or returns a jobjectID result. If the function call is inside an event handler where GC is already disabled, then the profiler agent need not explicitly disable the GC again.

A thread may be identified either by its JNIEnv interface pointer or by the object ID of the corresponding java.lang.Thread object. The JNIEnv pointer is valid between thread start and thread end events, and remains constant during the lifetime of a thread. The java.lang.Thread object ID, on the other hand, could remain valid after the thread ends, until it is garbage collected. The profiler agent can convert a JNIEnv pointer to the corresponding thread object ID by calling the GetThreadObject function.

1.5. Threading and Locking Issues

The JVMPPI is used by the profiler agent that runs in the same process as the Java virtual machine. Programmers who write the agent must be careful in dealing with threading and locking issues in order to prevent data corruption and deadlocks.

Events are sent in the same thread where they are generated. For example, a class loading event is sent in the same thread in which the class is loaded. Multiple events may arrive concurrently in different threads. The agent program must therefore provide the necessary synchronization in order to avoid data corruption caused by multiple threads updating the same data structure at the same time.

In some cases, synchronizing on certain frequent events (such as method entry and method exit) may impose unacceptable overhead to program execution. Agents may utilize the thread-local storage support provided by the JVMPPI to record profiling data without having to contend for global locks, and only merge the thread-local data into global profiles at selected intervals. The JVMPPI supplies the agent with a pointer-size thread-local storage. Following is a simple example that illustrates how a profiler agent may take advantage of this feature. Suppose we need to write a profiler agent that counts the number of methods executed in each thread. The agent installs event handlers for thread start, method entry, and thread end events:

```
/* thread start event handler
 * sets up the storage for thread-local method invocation counter
 */
void ThreadStartHandler(JNIEnv *thread_id)
{
    int *p_ctr = (int *)malloc(sizeof(int));
    CALL(SetThreadLocalStorage)(thread_id, p_ctr);
}

/* method enter event handler
 * increments thread local method invocation counter
 */
void MethodEntryHandler(jmethodID method_id, JNIEnv *thread_id)
{
    int *p_ctr = (int *)CALL(GetThreadLocalStorage)(thread_id);
    (*p_ctr)++;
}

/* thread end handler
 * prints the number of methods executed
 */
void ThreadEndHandler(JNIEnv *thread_id)
{
    int *p_ctr = (int *)CALL(GetThreadLocalStorage)(thread_id);
    fprintf(stdout, "Thread %x executed %d methods\n",
            thread_id, *p_ctr);
}
```

```

        thread_id, (*p_ctr));
    free(p_ctr);
}

```

The following JVMPi functions can cause event notification to be sent synchronously in the same thread during the function execution:

- RequestEvent
- CreateSystemThread
- RunGC

The RequestEvent function supplies the JVMPi event explicitly requested by the profiler agent. The CreateSystemThread function causes thread object allocation and thread start events to be issued. The RunGC function causes GC-related events to be generated.

When a profiling agent is loaded into the Java virtual machine, the process can either be in one of three modes: multi-threaded mode with GC enabled, multi-threaded mode with GC disabled, and the thread suspended mode. Different JVMPi events are issued in different modes. Certain JVMPi functions change the process from one mode to another.

The profiler agent must obey the following guidelines to avoid deadlocks:

- In the multi-threaded mode with GC enabled, the agent code has a great deal of freedom in acquiring locks and calling JVMPi functions. Of course the normal rules of deadlock avoidance apply. Different threads must not enter the same set of locks in different orders.
- When the GC is disabled the agent program must not call any JVMPi function that could require new Java objects to be created or cause the garbage collector to run. Currently, such functions include CreateSystemThread and RunGC. In addition, programmers need to be aware that disabling the GC creates an implicit locking dependency among threads. When the GC is disabled, the current thread may not be able to safely acquire certain locks. Deadlocks may happen, for example, if one thread disables GC and tries to acquire a lock, while another thread already acquired that lock but is triggering a GC.
- In the thread suspended mode, one or more of the threads have been suspended. In this case, the agent program must not perform any operations that may cause the current thread to block. Such operations include, for example, the `malloc` and `fprintf` functions provided by the standard C library. These functions typically acquire internal C library locks that may be held by one of the suspended threads.

1.6 Data Communication between the Profiler Agent and Front-End

The JVMPi provides a low-level mechanism for a profiler agent to communicate with the virtual machine. The goal is to provide maximum flexibility for the profiler agent to present the data depending on the needs of the front-end, and also to keep the processing work done by the virtual machine at a minimum. Therefore, the JVMPi does not specify a wire protocol between the profiling agent and the front-end. Instead, tools vendors design their own profiling agents that suit the needs of their front-ends.

The following issues need to be considered when designing the wire protocol in order to allow the profiler agent and front-end to reside on different machines:

- Pointer size (e.g., 32 or 64 bit) - all of the JVMPi IDs are of pointer type (see Data Types).
- Byte order (little endian or big endian).
- Bit order (most significant bit first or least significant bit first).

- String encoding - the JVMPi uses the UTF-8 encoding as documented in the Java virtual machine specification.

For example, the *hprof* profiler agent shipped with JDK 1.2 sends the size of all IDs as the first record, and uses the standard network byte order for integer and floating-point data.

2. Interface Functions

```
jint (*CreateSystemThread) (char *name, jint priority, void (*f) (void *));
```

Called by the profiler agent to create a daemon thread in the Java virtual machine.

It is safe for the profiler agent to make this call only after the JVM notifies a *JVMPI_EVENT_INIT_DONE* and when the system is in a multi-threaded mode with GC enabled.

Arguments:

name - name of the thread.

priority - thread priority; the values can be:

```
JVMPI_NORMAL_PRIORITY  
JVMPI_MAXIMUM_PRIORITY  
JVMPI_MINIMUM_PRIORITY
```

f - function to be run by the thread.

Returns:

JNI_OK - success.

JNI_ERR - failure.

```
jint (*DisableEvent) (jint event_type, void *arg);
```

Called by the profiler agent to disable the notification of a particular type of event. Apart from *event_type*, the profiler agent may also pass an argument that provides additional information specific to the given event type.

All events are disabled when the VM starts up. Once enabled, an event stays enabled until it is explicitly disabled.

This function returns *JVMPI_NOT_AVAILABLE* if *event_type* is *JVMPI_EVENT_HEAP_DUMP*, *JVMPI_EVENT_MONITOR_DUMP* or *JVMPI_EVENT_OBJECT_DUMP*.

Arguments:

```
event_type - type of event, JVMPI_EVENT_CLASS_LOAD etc.  
arg - event specific information.
```

Returns:

JVMPI_SUCCESS	disable succeeded.
JVMPI_FAIL	disable failed.
JVMPI_NOT_AVAILABLE	support for disabling the given event_type is not available.

void (*DisableGC) (void);

Called by the profiler to disable garbage collection, until EnabledGC is called. DisableGC and EnableGC calls may be nested.

jint (*EnableEvent) (jint event_type, void *arg);

Called by the profiler agent to enable notification of a particular type of event. Apart from event_type, the profiler may also pass an argument that provides additional information specific to the given event type.

All events are disabled when the VM starts up. Once enabled, an event stays enabled until it is explicitly disabled.

This function returns JVMPI_NOT_AVAILABLE if event_type is JVMPI_EVENT_HEAP_DUMP, JVMPI_EVENT_MONITOR_DUMP or JVMPI_EVENT_OBJECT_DUMP. The profiler agent must use the RequestEvent function to request these events.

Arguments:

event_type	- type of event, JVMPI_EVENT_CLASS_LOAD etc.
arg	- event specific argument.

Returns:

JVMPI_SUCCESS	enable succeeded.
JVMPI_FAIL	enable failed.
JVMPI_NOT_AVAILABLE	support for enabling the given event_type is not available.

void (*EnableGC) (void);

Enables garbage collections. DisableGC and EnableGC calls may be nested.

void (*GetCallTrace) (JVMPI_CallTrace *trace, jint depth);

Called by the profiler to obtain the current method call stack trace for a given thread. The thread is identified by the env_id field in the JVMPI_CallTrace structure. The profiler agent should allocate a JVMPI_CallTrace structure with enough memory for the requested stack depth. The VM fills in the frames buffer and the num_frames field.

Arguments:

trace	- trace data structure to be filled by the VM.
depth	- depth of the call stack trace.

```
jlong (*GetCurrentThreadCpuTime) (void);
```

Called by the profiler agent to obtain the accumulated CPU time consumed by the current thread.

Returns:

time in nanoseconds

```
 jobjectID (*GetMethodClass) (jmethodID mid);
```

Called by the profiler agent to obtain the object ID of the class that defines a method.

The profiler must disable GC before calling this function.

Arguments:

mid - a method ID.

Returns:

object ID of the defining class.

```
void * (*GetThreadLocalStorage) (JNIEnv *env_id);
```

Called by the profiler to get the value of the JVMPi thread-local storage. The JVMPi supplies to the agent a pointer-size thread-local storage that can be used to record per-thread profiling information.

Arguments:

env_id - the JNIEnv * of the thread.

Returns:

the value of the thread local storage

```
 jobjectID (*GetThreadObject) (JNIEnv *env);
```

Called by the profiler agent to obtain the thread object ID that corresponds to a JNIEnv pointer.

The profiler must disable GC before calling this function.

Arguments:

env - JNIEnv pointer of the thread.

Returns:

the thread object ID.

```
jint (*GetThreadStatus) (JNIEnv *env);
```

Called by the profiler agent to obtain the status of a thread.

The JVMPi functions SuspendThread and ResumeThread have no affect on the status returned by GetThreadStatus. The status of a thread suspended through the JVMPi remains unchanged and the status at the time of suspension is returned.

Arguments:

`env` - the `JNIEnv *` of the thread.

Returns:

`JVMPi_THREAD_RUNNABLE` - thread is runnable.

`JVMPi_THREAD_MONITOR_WAIT` - thread is waiting on a monitor.

`JVMPi_THREAD_CONDVAR_WAIT` - thread is waiting on a condition variable.

When a thread is suspended (by `java.lang.Thread.suspend`) or interrupted in any of the above states the `JVMPi_THREAD_SUSPENDED` or the `JVMPi_THREAD_INTERRUPTED` bit is set.

```
void (*NotifyEvent) (JVMPi_Event *event);
```

Called by the VM to send an event to the profiling agent. The profiler agent registers the types of events it is interested in by calling EnableEvent, or requests a specific type of event by calling RequestEvent.

When an event is enabled by EnableEvent, the thread that generates the event is the thread in which the event is sent. When an event is requested by RequestEvent, the thread that requests the event is the thread in which the event is sent. Multiple threads may send multiple events concurrently.

If the event specific information contains a `jobjectID`, this function is called with GC disabled. GC is enabled after the function returns.

The space allocated for the `JVMPi_Event` structure and any event specific information is freed by the virtual machine once this function returns. The profiler agent must copy any necessary data it needs to retain into its internal buffers.

Arguments:

`event` - the JVMPi event sent from the VM to the profiling agent.

```
void (*ProfilexExit) (jint err_code);
```

Called by the profiler agent to inform the VM that the profiler wants to exit with error code set to `err_code`. This function causes the VM to also exit with the same `err_code`.

Arguments:

`err_code - exit code`

`JVMPI_RawMonitor (*RawMonitorCreate)(char *lock_name);`

Called by the profiler to create a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

It is not safe for the profiler agent to call this function in the thread suspended mode because this function may call arbitrary system functions such as `malloc` and block on an internal system library lock.

If the raw monitor is created with a name beginning with an underscore ('_'), then its monitor contention events are not sent to the profiler agent.

Arguments:

`lock_name - name of raw monitor.`

Returns:

a raw monitor

`void (*RawMonitorDestroy)(JVMPI_RawMonitor lock_id);`

Called by the profiler agent to destroy a raw monitor and free all system resources associated with the monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

It is not safe for the profiler agent to call this function in the thread suspended mode because this function may call arbitrary system functions such as `free` and block on a internal system library lock.

Arguments:

`lock_id - the raw monitor to be destroyed`

`void (*RawMonitorEnter)(JVMPI_RawMonitor lock_id);`

Called by the profiler agent to enter a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

It is not safe for the profiler agent to call this function in the thread suspended mode because

the current thread may block on the raw monitor already acquired by one of the suspended threads.

Arguments:

`lock_id` - the raw monitor to be entered

```
void (*RawMonitorEnter) (JVMPI_RawMonitor lock_id);
```

Called by the profiler agent to exit a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

Arguments:

`lock_id` - the raw monitor to exit

```
void (*RawMonitorNotifyAll) (JVMPI_RawMonitor lock_id);
```

Called by the profiler to notify all the threads that are waiting on a raw monitor.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

Arguments:

`lock_id` - the raw monitor to notify

```
void (*RawMonitorWait) (JVMPI_RawMonitor lock_id, jlong ms);
```

Called by the profiler agent to wait on a raw monitor for a specified timeout period. Passing 0 as the timeout period causes the thread to wait forever.

Raw monitors are similar to Java monitors. The difference is that raw monitors are not associated with Java objects.

Arguments:

`lock_id` - the raw monitor to wait on
`ms` - time to wait (in milliseconds).

```
jint (*RequestEvent) (jint event_type, void *arg);
```

Called by the profiler agent to request a particular type of event to be notified. Apart from `event_type`, the profiler agent may also pass an argument that provides additional information specific to the given event type.

This function can be called to request one-time events such as `JVMPI_EVENT_HEAP_DUMP`, `JVMPI_EVENT_MONITOR_DUMP` and `JVMPI_EVENT_OBJECT_DUMP`. Notification for these events cannot be controlled by the `EnableEvent` and `DisableEvent` functions.

In addition, this function can be called to request the *defining events* for a specific class, thread, or object. This is useful when the profiler agent needs to resolve an unknown class, method, thread, or object ID received in an event, but the corresponding defining event was disabled earlier.

- The profiler agent may receive information about an unknown class ID by requesting a JVMPI_EVENT_CLASS_LOAD event and setting the event-specific argument to the class object ID.
- The profiler agent may receive information about an unknown thread ID by requesting a JVMPI_EVENT_THREAD_START event and setting the event-specific argument to the thread object ID.
- The profiler agent may receive information about an unknown object ID by requesting a JVMPI_EVENT_OBJECT_ALLOC event and setting the event-specific argument to the object ID.

Thus the profiler agent can either enable the above three events asynchronously by calling EnableEvent, or request these events synchronously by calling RequestEvent. The requested event is sent in the same thread that issued the RequestEvent call, and is sent before the RequestEvent function returns.

The RequestEvent function cannot be used to request other events not listed above.

Events requested through RequestEvent will arrive with the JVMPI_REQUESTED_EVENT bit set in its event_type.

Arguments:

```
event_type - type of event, JVMPI_EVENT_CLASS_LOAD etc.  
arg           - event specific argument.
```

Returns:

<u>JVMPI_SUCCESS</u>	request succeeded.
<u>JVMPI_FAIL</u>	request failed.
<u>JVMPI_NOT_AVAILABLE</u>	support for issuing the requested <u>event_type</u> is not available.

```
void (*ResumeThread) (JNIEnv *env);
```

Called by the profiler agent to resume a thread.

Note that a thread suspended by the `java.lang.Thread.suspend` method cannot be resumed by the JVMPI ResumeThread function.

Arguments:

```
env - the JNIEnv * of the thread.
```

```
void (*RunGC) (void);
```

Called by the profiler to force a complete garbage collection. This function must not be called when GC is disabled.

```
void (*SetThreadLocalStorage) (JNIEnv *env_id, void *ptr);
```

Called by the profiler agent to set the value of the JVMPi thread-local storage. The JVMPi supplies to the agent a pointer-size thread-local storage that can be used to record per-thread profiling information.

Arguments:

env_id - the JNIEnv * of the thread.
ptr - the value to be entered into the thread-local storage.

```
void (*SuspendThread) (JNIEnv *env);
```

Called by the profiler agent to suspend a thread. The system enters the thread suspended mode after this function is called.

Note that a thread suspended by the JVMPi SuspendThread function cannot be resumed by the java.lang.Thread.resume method.

In the JDK 1.2 implementation, this function must be called when the GC is disabled. GC must remain disabled until all threads have been resumed.

Arguments:

env - the JNIEnv * of the thread.

```
jboolean (*ThreadHasRun) (JNIEnv *env);
```

Called by the profiler to determine if a thread identified by the given JNIEnv pointer has consumed CPU time since the last time the thread was suspended by SuspendThread. This function must be called when the thread has been resumed by ResumeThread and then suspended again by the SuspendThread function.

Arguments:

env - the JNIEnv * of the thread.

Returns:

JNI_TRUE - thread got a chance to run.
JNI_FALSE - thread did not get a chance to run.

3. Events

JVMPi_EVENT_ARENA_DELETE

Sent when a heap arena is deleted.

All objects residing in this arena are freed. An explicit JVMPi_EVENT_OBJECT_FREE is not sent for those objects. The profiler agent can infer all the objects currently residing in that arena by

keeping track of the object allocations in the arena and all the objects moved in and out of the arena.

This event is issued in the thread suspended mode. The profiler must not make any blocking calls such as entering a monitor or allocating from the C heap (for example, via `malloc`).

This event is always sent between a pair of `JVMPi_EVENT_GC_START` and `JVMPi_EVENT_GC_FINISH` events. The profiler agent should acquire all the locks need for processing this event in the event handler for `JVMPi_EVENT_GC_START`.

```
struct {
    jint arena_id;
} delete_arena;
```

Contents:

`arena_id` - ID of the arena being deleted.

`JVMPi_EVENT_ARENA_NEW`

Sent when a new arena for allocating objects is created.

```
struct {
    jint arena_id;
    char *arena_name;
} new_arena;
```

Contents:

`arena_id` - ID given to the arena.

`arena_name` - name of the arena.

`JVMPi_EVENT_CLASS_LOAD`

Sent when a class is loaded in the VM, or when the profiler agent requests a `JVMPi_EVENT_CLASS_LOAD` event by issuing a `RequestEvent` call. In the latter case, the `JVMPi_REQUESTED_EVENT` bit in the event type is set.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    char *class_name;
    char *source_name;
    jint num_interfaces;
    jint num_methods;
    JVMPi_Method *methods;
    jint num_static_fields;
    JVMPi_Field *statics;
    jint num_instance_fields;
    JVMPi_Field *instances;
    jobjectID class_id;
} class_load;
```

Contents:

<code>class_name</code>	- name of class being loaded.
<code>source_name</code>	- name of source file that defines the class.
<code>num_interfaces</code>	- number of interfaces implemented by this class.
<code>methods</code>	- methods defined in the class.
<code>num_static_fields</code>	- number of static fields defined in this class.
<code>statics</code>	- static fields defined in the class.
<code>num_instance_fields</code>	- number of instance fields defined in this class.
<code>instances</code>	- instance fields defined in the class.
<code>class_id</code>	- class object ID.

Note: class IDs are IDs of the class objects and are subject to change when `JVMPPI_EVENT_OBJECT_MOVE` arrives.

`JVMPPI_EVENT_CLASS_LOAD_HOOK`

Sent when the VM obtains a class file data, but before it constructs the in-memory representation for that class. The profiler agent can instrument the existing class file data sent by the VM to include profiling hooks.

The profiler must allocate the space for the modified class file data buffer using the memory allocation function pointer sent in this event, because the VM is responsible for freeing the new class file data buffer.

```
struct {
    unsigned char *class_data;
    jint class_data_len;
    unsigned char *new_class_data;
    jint new_class_data_len;
    void * (*malloc_f)(unsigned int);
} class_load_hook;
```

Contents:

<code>class_data</code>	- pointer to the current class file data buffer.
<code>class_data_len</code>	- length of current class file data buffer.
<code>new_class_data</code>	- pointer to the instrumented class file data buffer.
<code>new_class_data_len</code>	- length of the new class file data buffer.
<code>malloc_f</code>	- pointer to a memory allocation function.

The profiler agent must set `new_class_data` to point to the newly instrumented class file data buffer and set `new_class_data_len` to the length of that buffer before returning from `NotifyEvent`. It must set both `new_class_data` and `new_class_data_len` to the old values if it chooses not to instrument this class.

`JVMPPI_EVENT_CLASS_UNLOAD`

Sent when a class is unloaded.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    jobjectID class_id;
} class_unload;
```

Contents:

class_id - class being unloaded.

JVMPi_EVENT_COMPILED_METHOD_LOAD

Sent when a method is compiled and loaded into memory.

```
struct {
    jmethodID method_id;
    void *code_addr;
    jint code_size;
    jint lineno_table_size;
    JVMPi_Lineno *lineno_table;
} compiled_method_load;
```

Contents:

method_id	- method being compiled and loaded.
code_addr	- address where compiled method code is loaded.
code_size	- size of compiled code.
lineno_table_size	- size of line number table.
lineno_table	- table mapping offset from beginning of method to the src file line number.

JVMPi_EVENT_COMPILED_METHOD_UNLOAD

Sent when a compiled method is unloaded from memory.

```
struct {
    jmethodID method_id;
} compiled_method_unload;
```

Contents:

method_id - compiled method being unloaded.

JVMPi_EVENT_DATA_DUMP_REQUEST

Sent by the VM to request the profiler agent to dump its data. This is just a hint and the profiler agent need not react to this event. This is useful for processing command line signals from users. For example, in JDK 1.2 a CTRL-Break on Win32 and a CTRL-\ on Solaris causes the VM to send this event to the profiler agent.

There is no event specific information.

JVMPi_EVENT_DATA_RESET_REQUEST

Sent by the VM to request the profiler agent to reset its data. This is just a hint and the profiler agent need not react to this event. This is useful for processing command line signals from users. For example, in JDK 1.2 a CTRL-Break on Win32 and a CTRL-\ on Solaris causes the VM to send this event to the profiler agent.

There is no event specific information.

JVMPI_EVENT_GC_FINISH

Sent when GC finishes. The profiler agent can release any locks, grabbed during GC start notification for handling object free, object move, and arena delete events. The system gets back into the multi-threaded mode after this event.

The event-specific data contains Java heap statistics.

```
struct {
    jlong used_objects;
    jlong used_object_space;
    jlong total_object_space;
} gc_info;
```

Contents:

<code>used_objects</code>	- number of used objects on the heap.
<code>used_object_space</code>	- amount of space used by the objects (in bytes).
<code>total_object_space</code>	- total amount of object space (in bytes).

JVMPI_EVENT_GC_START

Sent when GC is about to start. The system goes into thread suspended mode after this event. To avoid deadlocks, the profiler agent should grab any locks that are needed for handling object free, object move, and arena delete events in the event handler for this event.

There is no event specific information.

JVMPI_EVENT_HEAP_DUMP

Sent when requested by the `RequestEvent` function. The profiler agent can specify the level of information to be dumped by passing an `JVMPI_HeapDumpArg` structure to `RequestEvent` as the second argument, with the `heap_dump_level` field set to the desired dump level.

The dump level values can be one of the following:

- `JVMPI_DUMP_LEVEL_0`
- `JVMPI_DUMP_LEVEL_1`
- `JVMPI_DUMP_LEVEL_2`

If a NULL value is passed, then the dump level is set to `JVMPI_DUMP_LEVEL_2`.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

The event-specific data contains a snapshot of all live objects in the Java heap.

```

struct {
    int dump_level;
    char *begin;
    char *end;
    jint num_traces;
    JVMPI_CallTrace *traces;
} heap_dump;

```

Contents:

dump_level	- the dump level specified in <u>RequestEvent</u>
begin	- beginning of the heap dump
end	- end of the heap dump
num_traces	- number of stack traces in which the GC roots reside, 0 for <u>JVMPI_DUMP_LEVEL_0</u>
traces	- the stack traces in which the GC roots reside

The format of the heap dump between begin and end depends on the level of information requested. The formats are described in detail in the JVMPI Dump Formats section.

JVMPI_EVENT_JNI_GLOBALREF_ALLOC

Sent when a JNI global reference is created. The event-specific data contains the JNI global reference as well as the corresponding object ID.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```

struct {
    jobjectID obj_id;
    jobject ref_id;
} jni_globalref_alloc;

```

Contents:

obj_id	- object ID referred to by the global reference.
ref_id	- JNI global reference.

JVMPI_EVENT_JNI_GLOBALREF_FREE

Sent when a JNI global reference is deleted. The event-specific data contains the JNI global reference that is being deleted.

```

struct {
    jobject ref_id;
} jni_globalref_free;

```

Contents:

ref_id - JNI global reference.

JVMPI_EVENT_JNI_WEAK_GLOBALREF_ALLOC

Sent when a JNI weak global reference is created. The event-specific data contains the JNI weak global reference as well as the corresponding object ID.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID obj_id;
    jobject ref_id;
} jni_globalref_alloc;
```

Contents:

obj_id - object ID referred to by the weak global reference.
ref_id - JNI weak global reference.

JVMPi_EVENT_JNI_WEAK_GLOBALREF_FREE

Sent when a JNI weak global reference is deleted. The event-specific data contains the JNI weak global reference that is being deleted.

```
struct {
    jobject ref_id;
} jni_globalref_free;
```

Contents:

ref_id - JNI weak global reference.

JVMPi_EVENT_JVM_INIT_DONE

Sent by the VM when its initialization is done. It is safe to call CreateSystemThread only after this event is notified.

There is no event specific data.

JVMPi_EVENT_JVM_SHUT_DOWN

Sent by the VM when it is shutting down. The profiler typically responds by saving the profiling data.

There is no event specific data.

JVMPi_EVENT_METHOD_ENTRY

Sent when a method is entered. Compared with JVMPi_EVENT_METHOD_ENTRY2, this event does not send the jobjectID of the target object on which the method is invoked.

```
struct {
    jmethodID method_id;
} method;
```

Contents:

`method_id` - the method being entered.

JVMPi_EVENT_METHOD_ENTRY2

Sent when a method is entered. If the method is an instance method, the `objectId` of the target object is sent with the event. If the method is a static method, the `obj_id` field in the event is set to NULL.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    jmethodID method_id;
    objectId obj_id;
} method_entry2;
```

Contents:

`method_id` - the method being entered.
`obj_id` - the target object, NULL for static methods.

JVMPi_EVENT_METHOD_EXIT

Sent when a method is exited. The method exit may be a normal exit, or caused by an unhandled exception.

```
struct {
    jmethodID method_id;
} method;
```

Contents:

`method_id` - the method being entered.

JVMPi_EVENT_MONITOR_CONTENDED_ENTER

Sent when a thread is attempting to enter a Java monitor already acquired by another thread.

This event is issued with GC disabled. GC is re-enabled after `NotifyEvent` returns.

```
struct {
    objectId object;
} monitor;
```

Contents:

`object` - object ID associated with the monitor

JVMPi_EVENT_MONITOR_CONTENDED_ENTERED

Sent when a thread enters a Java monitor after waiting for it to be released by another thread.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID object;
} monitor;
```

Contents:

object - object ID associated with the monitor

JVMPi_EVENT_MONITOR_CONTENDED_EXIT

Sent when a thread exits a Java monitor, and another thread is waiting to acquire the same monitor.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jobjectID object;
} monitor;
```

Contents:

object - object ID associated with the monitor

JVMPi_EVENT_MONITOR_DUMP

Sent when requested by the RequestEvent function.

The event-specific data contains a snapshot of all the threads and monitors in the VM.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    char *begin;
    char *end;
    jint num_traces;
    JVMPi_CallTrace *traces;
    jint *threads_status;
} monitor_dump;
```

Contents:

<u>begin</u>	- start of the monitor dump buffer.
<u>end</u>	- end of the dump buffer
<u>num_traces</u>	- number of thread traces.
<u>traces</u>	- traces of all threads.
<u>thread_status</u>	- status of all threads.

The format of the monitor dump buffer is described in detail in the JVMPi Dump Formats section.

JVMPI_EVENT_MONITOR_WAIT

Sent when a thread is about to wait on an object.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    objectID object;
    jlong timeout;
} monitor_wait;
```

Contents:

object - ID of object on which the current thread is going to wait.
 (NULL indicates the thread is in Thread.sleep.)
timeout - the number of milliseconds the thread will wait. (0 indicates waiting forever.)

JVMPI_EVENT_MONITOR_WAITED

Sent when a thread finishes waiting on an object.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    objectID object;
    jlong timeout;
} monitor_wait;
```

Contents:

object - ID of object on which the current thread waited.
 (NULL indicates the thread is in Thread.sleep.)
timeout - the number of milliseconds the thread waited.

JVMPI_EVENT_OBJECT_ALLOC

Sent when an object is allocated, or when the profiler agent requests a JVMPI_EVENT_OBJECT_ALLOC event by issuing a RequestEvent call. In the latter case, the JVMPI_REQUESTED_EVENT bit in the event type is set.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    jint arena_id;
    objectID class_id;
    jint is_array;
    jint size;
    objectID obj_id;
} obj_alloc;
```

Contents:

`arena_id` - arena where allocated.

`class_id` - class to which this object belongs, or the array element class if `is_array` is `JVMPI_CLASS`.

`is_array` - values can be:

<code>JVMPI_NORMAL_OBJECT</code>	normal object
<code>JVMPI_CLASS</code>	array of objects
<code>JVMPI_BOOLEAN</code>	array of booleans
<code>JVMPI_BYTE</code>	array of bytes
<code>JVMPI_CHAR</code>	array of chars
<code>JVMPI_SHORT</code>	array of shorts
<code>JVMPI_INT</code>	array of ints
<code>JVMPI_LONG</code>	array of longs
<code>JVMPI_FLOAT</code>	array of floats
<code>JVMPI_DOUBLE</code>	array of doubles

`size` - size in number of bytes.

`obj_id` - unique object ID.

`JVMPI_EVENT_OBJECT_DUMP`

Sent when requested by the `RequestEvent` function. The `jobjectID` of the object for which a dump is being requested should be passed as the second argument to `RequestEvent`.

The profiler agent should request this event with GC disabled.

The event-specific data contains a snapshot of the object.

```
struct {
    jint data_len;
    char *data;
} object_dump;
```

Contents:

`data_len` - length of the object dump buffer

`data` - beginning of the object dump

The format of the object dump buffer is described in detail in the [JVMPI Dump Formats](#) section.

`JVMPI_EVENT_OBJECT_FREE`

Sent when an object is freed.

This event is issued in the thread suspended mode. The profiler must not make any blocking calls such as entering a monitor or allocating from the C heap (for example, via `malloc`).

This event is always sent between a pair of `JVMPI_EVENT_GC_START` and `JVMPI_EVENT_GC_FINISH` events. The profiler agent should acquire all the locks need for

processing this event in the event handler for JVMPi_EVENT_GC_START.

```
struct {
    jobjectID obj_id;
} obj_free;
```

Contents:

obj_id - object being freed.

JVMPi_EVENT_OBJECT_MOVE

Sent when an object is moved in the heap.

This event is issued in the thread suspended mode. The profiler must not make any blocking calls such as entering a monitor or allocating from the C heap (for example, via malloc).

This event is always sent between a pair of JVMPi_EVENT_GC_START and JVMPi_EVENT_GC_FINISH events. The profiler agent should acquire all the locks need for processing this event in the event handler for JVMPi_EVENT_GC_START.

```
struct {
    jint arena_id;
    jobjectID obj_id;
    jint new_arena_id;
    jobjectID new_obj_id;
} obj_move;
```

Contents:

arena_id - current arena.
obj_id - current object ID.
new_arena_id - new arena.
new_obj_id - new object ID.

JVMPi_EVENT_RAW_MONITOR_CONTENDED_ENTER

Sent when a thread is attempting to enter a raw monitor already acquired by another thread.

```
struct {
    char *name;
    JVMPi_RawMonitor id;
} raw_monitor;
```

Contents:

name - name of the raw monitor
id - ID of the raw monitor

JVMPi_EVENT_RAW_MONITOR_CONTENDED_ENTERED

Sent when a thread enters a raw monitor after waiting for it to be released by another thread.

```
struct {
    char *name;
    JVMPI_RawMonitor id;
} raw_monitor;
```

Contents:

name - Name of the raw monitor
 id - ID of the raw monitor

JVMPI_EVENT_RAW_MONITOR_CONTENDED_EXIT

Sent when a thread exits a raw monitor, and another thread is waiting to acquire the same monitor.

```
struct {
    char *name;
    JVMPI_RawMonitor id;
} raw_monitor;
```

Contents:

name - Name of the raw monitor
 id - ID of the raw monitor

JVMPI_EVENT_THREAD_END

Sent when a thread ends in the VM.

The env_id field of the JVMPI_Event received in this event notification is the JNIEnv interface pointer of the thread that ended.

JVMPI_EVENT_THREAD_START

Sent when a thread is started in the VM, or when the profiler agent requests a JVMPI_EVENT_THREAD_START event by issuing a RequestEvent call. In the latter case, the JVMPI_REQUESTED_EVENT bit in the event type is set.

This event is issued with GC disabled. GC is re-enabled after NotifyEvent returns.

```
struct {
    char *thread_name;
    char *group_name;
    char *parent_name;
    jobctID thread_id;
    JNIEnv *thread_env_id;
} thread_start;
```

Contents:

thread_name - name of thread being started.
group_name - group to which the thread belongs.
parent_name - name of parent.
thread_id - thread object ID.
thread_env_id - `JNIEnv *` of the thread.

Threads are associated with a `JNIEnv` pointer and a thread object ID. The JVMPPI uses the `JNIEnv` pointer as the thread ID.

4. Dump Formats

4.1 Sizes and Types Used in Dump Format Descriptions

`u1`: 1 byte

`u2`: 2 bytes

`u4`: 4 bytes

`u8`: 8 bytes

`ty`: `u1` where:

<code>JVMPPI_NORMAL_OBJECT</code>	normal object
<code>JVMPPI_CLASS</code>	array of objects
<code>JVMPPI_BOOLEAN</code>	array of booleans
<code>JVMPPI_BYTE</code>	array of bytes
<code>JVMPPI_CHAR</code>	array of chars
<code>JVMPPI_SHORT</code>	array of shorts
<code>JVMPPI_INT</code>	array of ints
<code>JVMPPI_LONG</code>	array of longs
<code>JVMPPI_FLOAT</code>	array of floats
<code>JVMPPI_DOUBLE</code>	array of doubles

`v1`: values, exact size depends on the type of value:

<code>boolean, byte</code>	<code>u1</code>
<code>short, char</code>	<code>u2</code>
<code>int, float</code>	<code>u4</code>
<code>long, double</code>	<code>u8</code>
<code>JNIEnv *, jobjectID, and JVMPPI_RawMonitor</code>	<code>sizeof(void *)</code>

4.2 Heap Dump Format

The heap dump format depends on the level of information requested.

`JVMPPI_DUMP_LEVEL_0`:

The dump consists of a sequence of records of the following format:

<code>ty</code>	type of object
<code>jobjectID</code>	object

JVMPI DUMP LEVEL 1:

The dump format is the same as that of JVMPI DUMP LEVEL 2, except that the following values are excluded from the dump: primitive fields in object instance dumps, primitive static fields in class dumps, and primitive array elements.

JVMPI DUMP LEVEL 2:

The dump consists of a sequence of records, where each record includes an 8-bit record type followed by data whose format is specific to each record type.

Record type	Record data	
<code>JVMP<small>I</small> GC_ROOT_UNKNOWN (unknown root)</code>	<code>jobjectID</code>	object
<code>JVMP<small>I</small> GC_ROOT_JNI_GLOBAL (JNI global ref root)</code>	<code>jobjectID</code> <code>jobject</code>	object JNI global reference
<code>JVMP<small>I</small> GC_ROOT_JNI_LOCAL (JNI local ref)</code>	<code>jobjectID</code> <code>JNIEnv *</code> <code>u4</code>	object thread frame # in stack trace (-1 for empty)
<code>JVMP<small>I</small> GC_ROOT_JAVA_FRAME (Java stack frame)</code>	<code>jobjectID</code> <code>JNIEnv *</code> <code>u4</code>	object thread frame # in stack trace (-1 for empty)
<code>JVMP<small>I</small> GC_ROOT_NATIVE_STACK (native stack)</code>	<code>jobjectID</code> <code>JNIEnv *</code>	object thread
<code>JVMP<small>I</small> GC_ROOT_STICKY_CLASS (system class)</code>	<code>jobjectID</code>	class object
<code>JVMP<small>I</small> GC_ROOT_THREAD_BLOCK (reference from thread block)</code>	<code>jobjectID</code> <code>JNIEnv *</code>	thread object thread
<code>JVMP<small>I</small> GC_ROOT_MONITOR_USED (entered monitor)</code>	<code>jobjectID</code>	object
<code>JVMP<small>I</small> GC_CLASS_DUMP (dump of a class object)</code>	<code>jobjectID</code> <code>jobjectID</code> <code>jobjectID</code> <code>jobjectID</code> <code>jobjectID</code> <code>void *</code> <code>void *</code> <code>u4</code> <code>[jobjectID] *</code> <code>u2</code> <code>{u2,</code> <code>ty,</code>	class super class loader signers protection domain reserved reserved instance size (in bytes) interfaces size of constant pool constant pool index, type,

	[vl]* [vl]*	value static field values
JVMPI_GC_INSTANCE_DUMP (dump of a normal object)	jobjectID jobjectID u4 [vl]*	object class number of bytes that follow instance field values (class, followed by super, super's super ...)
JVMPI_GC_OBJ_ARRAY_DUMP (dump of an object array)	jobjectID u4 jobjectID [jobjectID]*	array object number of elements element class ID (may be NULL in JDK 1.2) elements
JVMPI_GC_PRIM_ARRAY_DUMP (dump of a primitive array)	jobjectID u4 ty [vl]*	array object number of elements element type elements

4.3 Object Dump Format

The dump buffer consists of a single record which includes an 8-bit record type, followed by data specific to the record type. The record type can be one of the following:

- JVMPI_GC_CLASS_DUMP
- JVMPI_GC_INSTANCE_DUMP
- JVMPI_GC_OBJ_ARRAY_DUMP
- JVMPI_GC_PRIM_ARRAY_DUMP

The format of the data for each record type is the same as described above in the heap dump format section. The level of information is the same as `JVMPI_DUMP_LEVEL_2`, with all of the following values included: primitive fields in object instance dumps, primitive static fields in class dumps, and primitive arrays elements.

4.4 Monitor Dump Format

The dump buffer consists of a sequence of records, where each record includes an 8-bit record type followed by data whose format is specific to each record type.

Record type	Record data
JVMPI_MONITOR_JAVA (Java monitor)	jobjectID JNIEnv * u4 u4 (JNIEnv **)* u4 [JNIEnv **]*

JVMPI_MONITOR_RAW (Raw monitor)	char * raw monitor name
	JVMPI_RawMonitor raw monitor ID
	JNIEnv * owner thread
	u4 entry count
	u4 number of threads waiting to enter
	[JNIEnv **] * threads waiting to enter
	u4 number of threads waiting to be notified
	[JNIEnv **] * threads waiting to be notified

5. Data Types

Characters are encoded using the UTF-8 encoding as documented in the Java virtual machine specification.

jobjectID

An opaque pointer representing an object ID.

```
struct _jobjectID;
typedef struct _jobjectID * jobjectID;
```

JVMPI_CallFrame

A method being executed.

```
typedef struct {
    jint lineno;
    jmethodID method_id;
} JVMPI_CallFrame;
```

Fields:

lineno - line number in the source file.
method_id - method being executed.

JVMPI_CallTrace

A call trace of method execution.

```
typedef struct {
    JNIEnv *env_id;
    jint num_frames;
    JVMPI_CallFrame *frames;
} JVMPI_CallTrace;
```

Fields:

`env_id` - ID of thread which executed this trace.
`num_frames` - number of frames in the trace.
`frames` - the JVMPI_CallFrames that make up this trace. Callee followed by callers.

JVMPI_Field

A field defined in a class.

```

typedef struct {
    char *field_name;
    char *field_signature;
} JVMPI_Field;
  
```

Fields:

`field_name` - name of field
`field_signature` - signature of field

JVMPI_HeapDumpArg

Additional info for requesting heap dumps.

```

typedef struct {
    jint heap_dump_level;
} JVMPI_HeapDumpArg;
  
```

Fields:

`heap_dump_level` - level of heap dump information, values can be:
 JVMPI_DUMP_LEVEL_0
 JVMPI_DUMP_LEVEL_1
 JVMPI_DUMP_LEVEL_2

JVMPI_Lineno

A mapping between source line number and offset from the beginning of a compiled method.

```

typedef struct {
    jint offset;
    jint lineno;
} JVMPI_Lineno;
  
```

Fields:

`offset` - offset from beginning of method
`lineno` - lineno from beginning of source file

JVMPI_Method

A method defined in a class.

```
typedef struct {
    char *method_name;
    char *method_signature;
    jint start_lineno;
    jint end_lineno;
    jmethodID method_id;
} JVMPI_Method;
```

Fields:

method_name	- name of method
method_signature	- signature of method
start_lineno	- start line number in the source file
end_lineno	- end line number in the source file
method_id	- ID given to this method

JVMPI_RawMonitor

An opaque pointer representing a raw monitor.

```
struct _JVMPI_RawMonitor;
typedef struct _JVMPI_RawMonitor * JVMPI_RawMonitor;
```

6. Notes on JDK1.2 Implementation Limitations

- JVMPI_EVENT_OBJECT_ALLOC events for object arrays are issued with unknown element class IDs (i.e., the `class_id` field is always NULL).
- On Win32 the following events are not yet supported in the presence of the JIT compiler:
 - JVMPI_EVENT_METHOD_ENTRY,
 - JVMPI_EVENT_METHOD_ENTRY2,
 - JVMPI_EVENT_METHOD_EXIT,
 - JVMPI_EVENT_COMPILED_METHOD_LOAD, and
 - JVMPI_EVENT_COMPILED_METHOD_UNLOAD,
- SuspendThread must be called with the GC is disabled. GC must remain disabled until all threads have been resumed.
- The thread start event for the main thread (first thread the VM creates) may arrive after some other events that refer to its `JNIEnv` interface pointer.
- JVMPI_EVENT_arena_NEW and JVMPI_EVENT_arena_DELETE events are never issued. Arena IDs in other events are always set to 1.

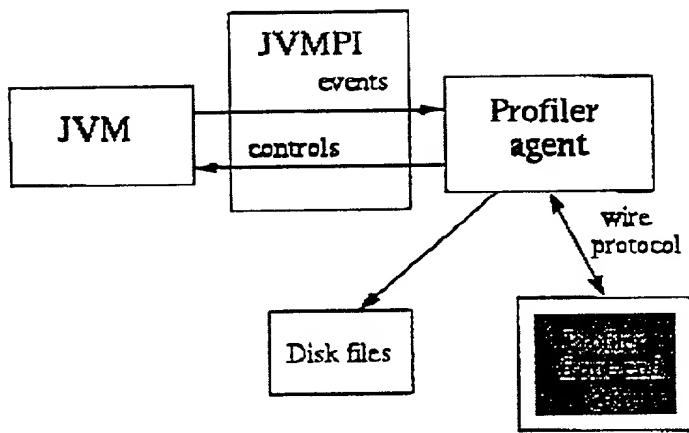


FIG. 1

What is claimed is:

1. A method for allowing a profiler to communicate with a virtual machine without regard to a specific implementation of the virtual machine, the method comprising the steps of:
 - 5 creating one or more heap arenas in a heap; and
 - using at least one event to dynamically manage storage allocation and storage deallocation in the heap, wherein said at least one event is independent of any algorithm for dynamically managing storage allocation and storage deallocation in the heap.
- 10 2. The method of Claim 1, wherein the one or more heap arenas represent one or more logically partitioned portions in the heap.
- 15 3. The method of Claim 1, further comprising assigning a unique arena ID to each heap arena.
4. The method of Claim 1, further comprising at least one step of the following steps:
 - using a new_arena event when a new heap arena is created;
 - 15 using a delete_arena event with respect to a particular heap arena when all objects within a logically partitioned portion of the heap represented by the particular heap arena have been deleted;
 - using one or more new_object events when one or more new objects are allocated in the new heap arena;
 - 20 using one or more delete_object events when one or more dead objects are returned to a free pool in the heap; and
 - using one or more move_object events when one or more objects are moved from one heap arena to another heap arena.
- 25 5. The method of Claim 4, further comprising associating each object with a unique arena ID, a unique object ID, and a unique class ID.
6. The method of Claim 1, further comprising:

- initiating a new_arena event to create a new heap arena to represent a logically partitioned portion of the heap;

initiating one or more new_object events when one or more new objects are allocated in the new heap arena ; and

5 initiating one or more delete_object events when one or more dead objects are returned to a free pool in the heap.

7. The method of Claim 1, further comprising:

initiating a new_arena event to create a new heap arena to represent a logically partitioned portion of the heap;

10 initiating one or more new_object events when one or more new objects are allocated in the new heap arena;

initiating one or more move_object events when the heap is compacted; and

initiating one or more delete_object events when one or more dead objects are returned to a free pool in the heap.

15 8. The method of Claim 1, further comprising:

initiating two new_arena events to create a first and second heap arena to represent a corresponding first and second logically partitioned portions in the heap;

initiating one or more new_object events when one or more new objects are allocated in the first heap arena;

20 initiating one or more move_object events when one or more live objects are moved from the first heap arena to the second heap arena; and

initiating a delete_arena event with respect to the first heap arena when all objects within the first logically partitioned portion of the heap represented by the first heap arena have been deleted.

25 9. The method of Claim 1, further comprising:

initiating two or more new_arena events to create a plurality of heap arenas to represent a corresponding plurality of logically partitioned portions in the heap;

initiating one or more new_object events when one or more new objects are allocated in a youngest heap arena corresponding to a youngest logically partitioned portion of the heap;

30

- initiating one or more move_object events when one or more objects are moved from a younger heap arena to an older heap arena; and
- initiating a delete_arena event with respect to the youngest heap arena when all the objects within the youngest logically partitioned portion of the heap represented by the youngest heap arena have been deleted.
- 5 10. A method for interfacing a profiler to a virtual machine, the method comprising the steps of:
- receiving from a profiler agent of the profiler at least one of either a request for specific types of events and information or an enablement of notification of specific types of events and information; and
- 10 10. A method for interfacing a profiler to a virtual machine, the method comprising the steps of:
- registering the specific types of events and information in which the profiler is interested.
- 15 11. A computer-readable medium carrying one or more sequences of one or more instructions for allowing a profiler to communicate with a virtual machine without regard to a specific implementation of the virtual machine, the one or more sequences of one or more instructions including instructions which, when executed by one or more processors, cause the one or more processors to perform the steps of:
- creating one or more heap arenas in a heap; and
- 20 20. A computer-readable medium carrying one or more sequences of one or more instructions for allowing a profiler to communicate with a virtual machine without regard to a specific implementation of the virtual machine, the one or more sequences of one or more instructions including instructions which, when executed by one or more processors, cause the one or more processors to perform the steps of:
- using at least one event to dynamically manage storage allocation and storage deallocation in the heap, wherein said at least one event is independent of any algorithm for dynamically managing storage allocation and storage deallocation in the heap.
- 25 12. The computer-readable medium of Claim 11, wherein the one or more heap arenas represent one or more logically partitioned portions in the heap.
13. The computer-readable medium of Claim 11, further comprising assigning a unique arena ID to each heap arena.
14. The computer-readable medium of Claim 11, further comprising at least one step of the following steps:
- 30 30. A computer-readable medium carrying one or more sequences of one or more instructions for allowing a profiler to communicate with a virtual machine without regard to a specific implementation of the virtual machine, the one or more sequences of one or more instructions including instructions which, when executed by one or more processors, cause the one or more processors to perform the steps of:
- using a new_arena event when a new heap arena is created;

- using a delete_arena event with respect to a particular heap arena when all objects
 within a logically partitioned portion of the heap represented by the
 particular heap arena have been deleted;
- 5 using one or more new_object events when one or more new objects are allocated
 in the new heap arena;
- using one or more delete_object events when one or more dead objects are returned
 to a free pool in the heap; and
- using one or more move_object events when one or more objects are moved from
 one heap arena to another heap arena.
- 10 15. The computer-readable medium of Claim 14, further comprising associating each
 object with a unique arena ID, a unique object ID, and a unique class ID.
16. The computer-readable medium of Claim 11, further comprising:
 initiating a new_arena event to create a new heap arena to represent a logically
 partitioned portion of the heap;
- 15 initiating one or more new_object events when one or more new objects are
 allocated in the new heap arena ; and
 initiating one or more delete_object events when one or more dead objects are
 returned to a free pool in the heap.
17. The computer-readable medium of Claim 11, further comprising:
 initiating a new_arena event to create a new heap arena to represent a logically
 partitioned portion of the heap;
- 20 initiating one or more new_object events when one or more new objects are
 allocated in the new heap arena;
 initiating one or more move_object events when the heap is compacted; and
 initiating one or more delete_object events when one or more dead objects are
 returned to a free pool in the heap.
- 25 18. The computer-readable medium of Claim 11, further comprising:
 initiating two new_arena events to create a first and second heap arena to represent
 a corresponding first and second logically partitioned portions in the heap;
- 30 initiating one or more new_object events when one or more new objects are
 allocated in the first heap arena;

- initiating one or more move_object events when one or more live objects are moved from the first heap arena to the second heap arena; and initiating a delete_arena event with respect to the first heap arena when all objects within the first logically partitioned portion of the heap represented by the first heap arena have been deleted.
- 5
19. The computer-readable medium of Claim 11, further comprising:
- initiating two or more new_arena events to create a plurality of heap arenas to represent a corresponding plurality of logically partitioned portions in the heap;
- 10
- initiating one or more new_object events when one or more new objects are allocated in a youngest heap arena corresponding to a youngest logically partitioned portion of the heap;
- initiating one or more move_object events when one or more objects are moved from a younger heap arena to an older heap arena; and
- 15
- initiating a delete_arena event with respect to the youngest heap arena when all the objects within the youngest logically partitioned portion of the heap represented by the youngest heap arena have been deleted.
20. A computer-readable medium carrying one or more sequences of one or more instructions for interfacing a profiler to a virtual machine, the one or more sequences of one or more instructions including instructions which, when executed by one or more processors, cause the one or more processors to perform the steps of:
- receiving from a profiler agent of the profiler at least one of either a request for specific types of events and information or an enablement of notification of specific types of events and information; and
- 25
- registering the specific types of events and information in which the profiler is interested.
21. A system for profiling a heap, the system comprising:
- a memory;
- 30
- one or more processors coupled to the memory; and
- at least one processor configured to:

- create one or more heap arenas in a heap; and
use at least one event to dynamically manage storage allocation and storage
deallocation in the heap, wherein said at least one event is
independent of any algorithm for dynamically managing storage
allocation and storage deallocation in the heap.
- 5
22. The system of Claim 21, wherein the one or more heap arenas represent one or
more logically partitioned portions in the heap.
23. The system of Claim 21, further comprising assigning a unique arena ID to each
heap arena.
- 10 24. The system of Claim 21, further comprising at least one step of the following steps:
using a new_arena event when a new heap arena is created;
using a delete_arena event with respect to a particular heap arena when all objects
within a logically partitioned portion of the heap represented by the
particular heap arena have been deleted;
- 15 using one or more new_object events when one or more new objects are allocated
in the new heap arena;
using one or more delete_object events when one or more dead objects are returned
to a free pool in the heap; and
using one or more move_object events when one or more objects are moved from
one heap arena to another heap arena.
- 20
25. The system of Claim 24, further comprising associating each object with a unique
arena ID, a unique object ID, and a unique class ID.
26. The system of Claim 21, further comprising:
initiating a new_arena event to create a new heap arena to represent a logically
partitioned portion of the heap;
- 25 initiating one or more new_object events when one or more new objects are
allocated in the new heap arena ; and
initiating one or more delete_object events when one or more dead objects are
returned to a free pool in the heap.

27. The system of Claim 21, further comprising:
initiating a new_arena event to create a new heap arena to represent a logically
partitioned portion of the heap;
initiating one or more new_object events when one or more new objects are
allocated in the new heap arena;
5 initiating one or more move_object events when the heap is compacted; and
initiating one or more delete_object events when one or more dead objects are
returned to a free pool in the heap.
28. The system of Claim 21, further comprising:
initiating two new_arena events to create a first and second heap arena to represent
a corresponding first and second logically partitioned portions in the heap;
10 initiating one or more new_object events when one or more new objects are
allocated in the first heap arena;
initiating one or more move_object events when one or more live objects are
moved from the first heap arena to the second heap arena; and
15 initiating a delete_arena event with respect to the first heap arena when all objects
within the first logically partitioned portion of the heap represented by the
first heap arena have been deleted.
29. The system of Claim 21, further comprising:
20 initiating two or more new_arena events to create a plurality of heap arenas to
represent a corresponding plurality of logically partitioned portions in the
heap;
initiating one or more new_object events when one or more new objects are
allocated in a youngest heap arena corresponding to a youngest logically
partitioned portion of the heap;
25 initiating one or more move_object events when one or more objects are moved
from a younger heap arena to an older heap arena; and
initiating a delete_arena event with respect to the youngest heap arena when all the
objects within the youngest logically partitioned portion of the heap
represented by the youngest heap arena have been deleted.
30
30. A system for profiling a heap, the system comprising:

- a memory;
- one or more processors coupled to the memory; and
- at least one processor configured to:
- receive from a profiler agent of a profiler at least one of either a request for
- specific types of events and information or an enablement of
- notification of specific types of events and information; and
- register the specific types of events and information in which the profiler is
- interested.
31. A system for profiling a heap, the system comprising:
- a storage medium; and
- a profiler front-end, wherein the profiler front-end is communicatively coupled to a
- profiler agent and is on a machine process separate and distinct from the
- machine process of the profiler agent; and
- a profiler agent communicatively coupled to the storage medium, wherein the
- profiler agent is configured to submit at least one of either a request for
- specific types of events and information or an enablement of notification of
- specific types of events and information.
32. A virtual machine comprising a process for creating a plurality of arenas within a
- heap.
- 20 33. A virtual machine having a standard profiler interface accommodating two or more
- profilers.
34. The virtual machine of Claim 33, wherein one of said two or more profilers reports
- on activities of a Mark-and-Sweep garbage collector.
35. The virtual machine of Claim 33, wherein one of said two or more profilers reports
- on activities of a Mark-Sweep-Compact garbage collector.
- 25 36. The virtual machine of Claim 33, wherein one of said two or more profilers reports
- on activities of a Two-Space-Copying garbage collector.
37. The virtual machine of Claim 33, wherein one of said two or more profilers reports
- on activities of a Generational garbage collector.

38. The virtual machine of Claim 33, wherein one of said two or more profilers reports on activities of a Reference-Counting garbage collector.

ABSTRACT OF THE DISCLOSURE

A method and apparatus for profiling a heap. According to the method, a flexible and comprehensive general-purpose profiling interface that uniformly accommodates a wide variety of memory allocation and garbage collection methods is used. The profiling interface, among other things, employs a set of virtual machine profiling interface events that support all known types of garbage collection methods.

1/3

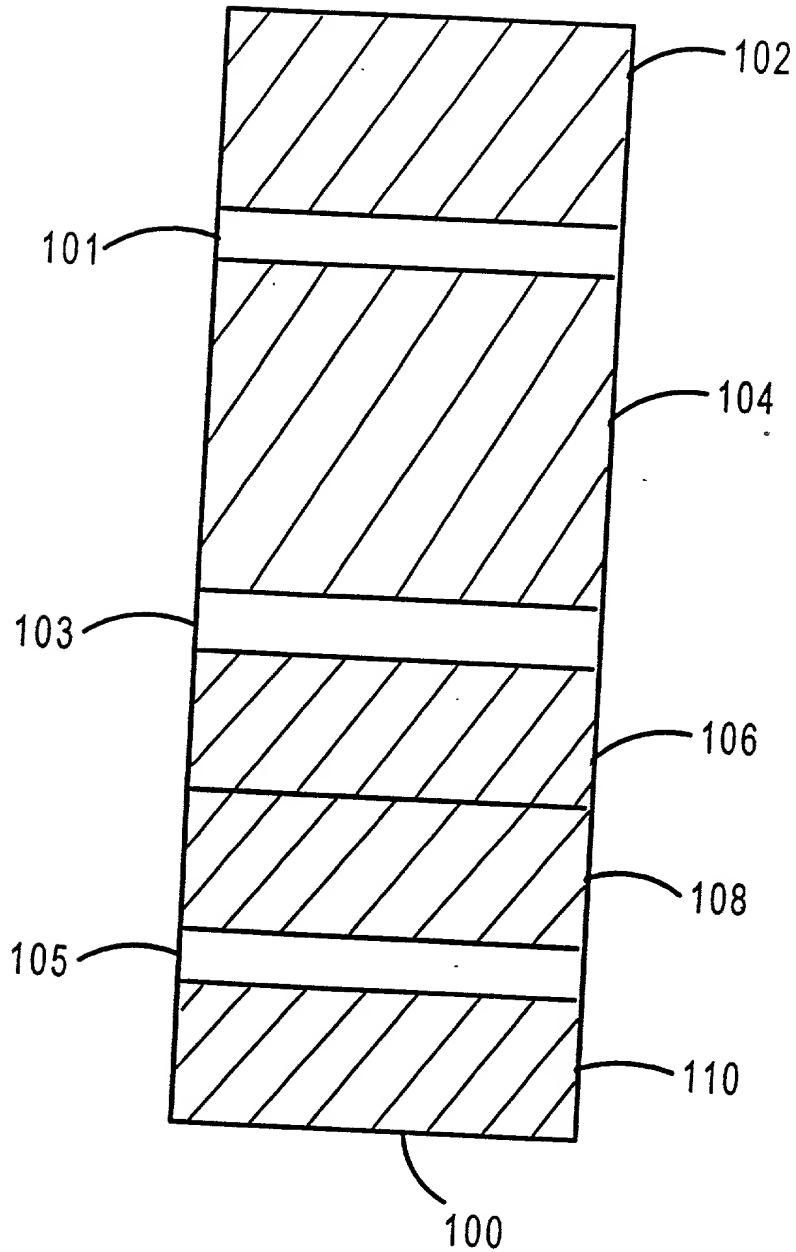


FIG. 1

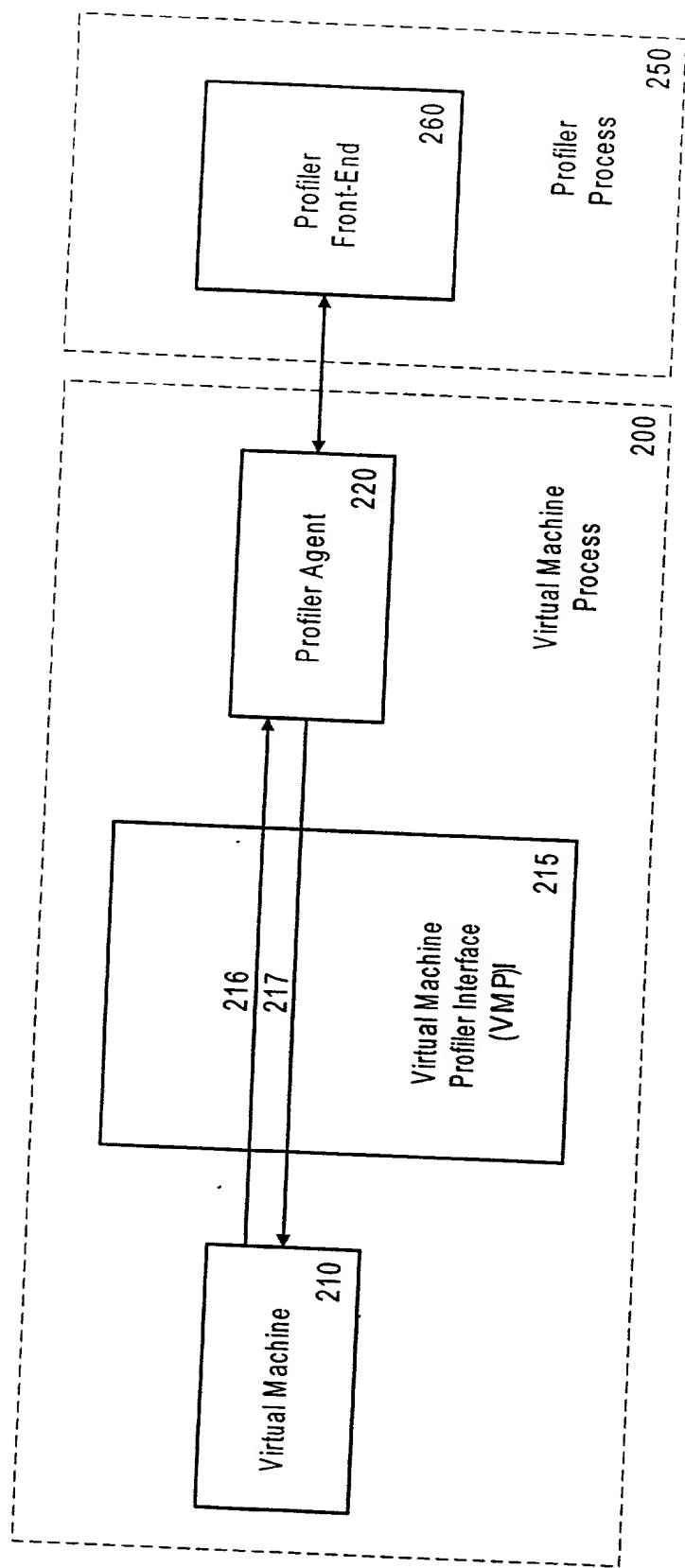
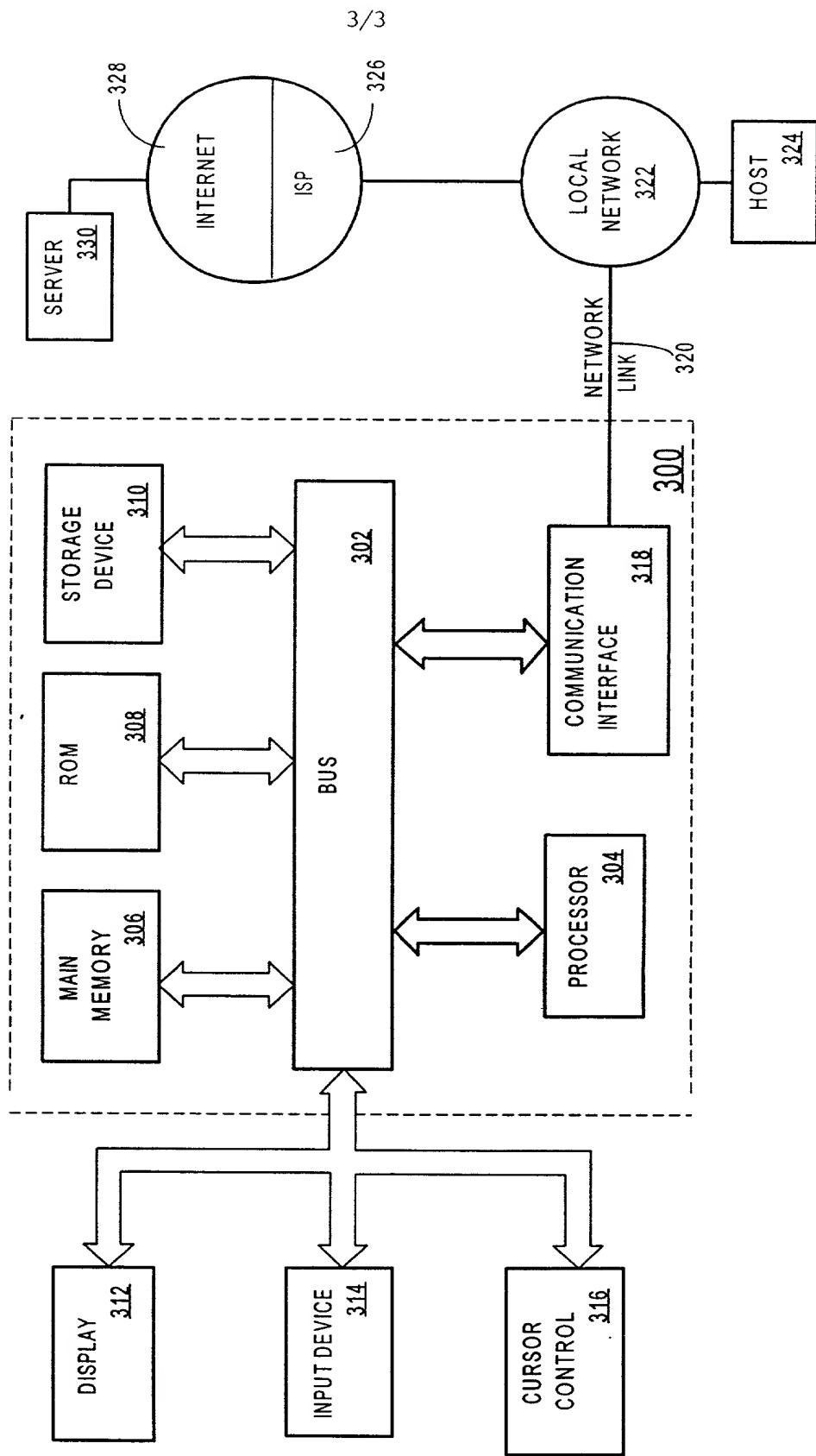


FIG. 2

FIG. 3



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

Includes Reference to PCT International Application(s)

Attorney's Docket Number
50435-055

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A METHOD FOR ENABLING COMPREHENSIVE PROFILING OF GARBAGE-COLLECTED MEMORY SYSTEMS

the specification of which:

 is attached hereto. was filed as United States application Serial No. 09/856,779on 25 May 2001

and was amended on _____ (if applicable).

 was filed as PCT international application Number PCT/US99/28089on 24 November 1999

and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or Section 365(b) of any foreign and/or international application(s) for patent or inventor's certificate or Section 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (If PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
PCT	PCT/US99/28089	24 November 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 USC §119(e) of any United States provisional application(s) listed below.

PRIOR PROVISIONAL APPLICATION(S):

Application Number	Filing Date
60/109,945	25 November 1998

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

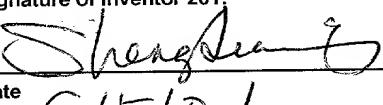
U.S. APPLICATIONS		STATUS (Check One)		
U.S. Application Number	U.S. Filing Date	Patented	Pending	Abandoned
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT Application No.	PCT Filing Date	U.S. Serial Numbers Assigned (if any)		
PCT/US99/28089	24 November 1999	09/856,779		

POWER OF ATTORNEY: As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Becker, Reg. No. 26,527; John G. Bisbikis, Reg. No. 37,095; Christopher D. Bright, Reg. No. 46,578; Daniel Bucca, Reg. No. 42,368; Kenneth L. Cage, Reg. No. 26,151; Jennifer Chen, Reg. No. 42,404; Bernard P. Codd, Reg. No. 46,429; Lawrence T. Cullen, Reg. No. 44,489; Paul Devinsky, Reg. No. 28,553; Margaret M. Duncan, Reg. No. 30,879; Shamita De Etienne-Cummings, Reg. No. 46,072; Ramyar M. Farid, Reg. No. 46,692; Brian E. Ferguson, Reg. No. 36,801; Michael E. Fogarty, Reg. No. 36,139; John R. Fuisz, Reg. No. 37,327; Eric Willem F. Gadiano, Reg. No. 37,136; Keith E. George, Reg. No. 34,111; Matthew V. Grumblng, Reg. No. 44,427; John A. Hankins, Reg. No. 32,029; Eric J. Kraus, Reg. No. 36,190; Catherine Krupka, Reg. No. 46,227; Jack Q. Lever, Reg. No. 28,149; Raphael V. Lupo, Reg. No. 28,363; Burman Y. Mathis III, Reg. No. 44,907; Michael A. Messina, Reg. No. 33,424; Dawn L. Palmer, Reg. No. 41,238; Joseph H. Paquin, Jr., Reg. No. 31,647; Scott D. Paul, Reg. No. 42,984; William D. Pegg, Reg. No. 42,988; Robert L. Price, Reg. No. 22,685; Gene Z. Rubinson, Reg. No. 33,351; Mahshid D. Saadat, Reg. No. 48,218; Joy Ann G. Serauskas, Reg. No. 27,952; David A. Spenard, Reg. No. 37,449; Arthur J. Steiner, Reg. No. 26,106; David L. Stewart, Reg. No. 48,578; Wesley Strickland, Reg. No. 44,363; Michael D. Switzer, Reg. No. 39,552; Daniel S. Trainor, Reg. No. 43,959; Kelli N. Watson, Reg. No. 47,170; Cameron K. Weiffenbach, Reg. No. 44,488; Aaron Weissbuch, Reg. No. 41,557; Edward J. Wise, Reg. No. 34,523; Jeffrey A. Woller, Reg. No. 48,041; Alexander V. Yampolsky, Reg. No. 36,324; and Robert W. Zelnick, Reg. No. 36,976, all of McDermott, Will & Emery.

Send Correspondence to: McDERMOTT, WILL & EMERY 600 13 th Street, N.W. Washington, D.C. 20005-3096	Direct Telephone Calls to: (name and telephone number) (202) 756-8000
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201	Full Name of Inventor Sheng Liang	Family Name Liang	First Given Name Sheng	Second Given Name
	Residence and Citizenship Cupertino, CA (USA)	City Cupertino	State or Foreign Country California USA	Country of Citizenship USA
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202	Full Name of Inventor Steffen Grarup	Family Name Grarup	First Given Name Steffen	Second Given Name
	Residence and Citizenship Palo Alto, CA (German)	City Palo Alto	State or Foreign Country California USA	Country of Citizenship Germany
	Post Office Address 825 Clara Drive Palo Alto, CA 94303	Post Office Address 825 Clara Drive	City Palo Alto	State & Zip Code/Country California 94303, USA
203	Full Name of Inventor	Family Name	First Given Name	Second Given Name
	Residence and Citizenship	City	State or Foreign Country	Country of Citizenship
	Post Office Address	Post Office Address	City	State & Zip Code/Country

I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 201: 	Signature of Inventor 202: 	Signature of Inventor 203:
Date 9/15/01	Date	Date

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

Includes Reference to PCT International Application(s)

Attorney's Docket Number

50435-055

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A METHOD FOR ENABLING COMPREHENSIVE PROFILING OF GARBAGE-COLLECTED MEMORY SYSTEMS

the specification of which:

 is attached hereto. was filed as United States application Serial No. 09/856,779on 25 May 2001

and was amended on _____ (if applicable).

 was filed as PCT international application Number PCT/US99/28089on 24 November 1999

and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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POWER OF ATTORNEY: As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Becker, Reg. No. 26,527; John G. Bisbikis, Reg. No. 37,095; Christopher D. Bright, Reg. No. 46,578; Daniel Bucca, Reg. No. 42,368; Kenneth L. Cage, Reg. No. 26,151; Jennifer Chen, Reg. No. 42,404; Bernard P. Codd, Reg. No. 46,429; Lawrence T. Cullen, Reg. No. 44,489; Paul Devinsky, Reg. No. 28,553; Margaret M. Duncan, Reg. No. 30,879; Shamita De Etienne-Cummings, Reg. No. 46,072; Ramyar M. Farid, Reg. No. 46,692; Brian E. Ferguson, Reg. No. 36,801; Michael E. Fogarty, Reg. No. 36,139; John R. Fuisz, Reg. No. 37,327; Willem F. Gadiano, Reg. No. 37,136; Keith E. George, Reg. No. 34,111; Matthew W. Grumblng, Reg. No. 44,427; John A. Hankins, Reg. No. 32,029; Eric J. Kraus, Reg. No. 36,190; Catherine Krupka, Reg. No. 46,227; Jack Q. Lever, Reg. No. 28,149; Raphael V. Lupo, Reg. No. 28,363; Burman Y. Mathis III, Reg. No. 44,907; Michael A. Messina, Reg. No. 33,424; Dawn L. Palmer, Reg. No. 41,238; Joseph H. Paquin, Jr., Reg. No. 31,647; Scott D. Paul, Reg. No. 42,984; William D. Pegg, Reg. No. 42,988; Robert L. Price, Reg. No. 22,685; Gene Z. Robinson, Reg. No. 33,351; Mahshid D. Saadat, Reg. No. P-48,218; Joy Ann G. Serauskas, Reg. No. 27,952; David A. Spenard, Reg. No. 37,449; Arthur J. Steiner, Reg. No. 26,106; David L. Stewart, Reg. No. 37,578; Wesley Strickland, Reg. No. 44,363; Michael D. Switzer, Reg. No. 39,552; Daniel S. Trainor, Reg. No. 43,959; Kelli N. Watson, Reg. No. 47,170; Cameron K. Weiffenbach, Reg. No. 44,488; Aaron Weissstuch, Reg. No. 41,557; Edward J. Wise, Reg. No. 34,523; Jeffrey A. Woller, Reg. No. 48,041; Alexander V. Yampolsky, Reg. No. 36,324; and Robert W. Zelnick, Reg. No. 36,976, all of McDermott, Will & Emery.

Send Correspondence to: McDERMOTT, WILL & EMERY 600 13 th Street, N.W. Washington, D.C. 20005-3096		Direct Telephone Calls to: (name and telephone number) (202) 756-8000	
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	Residence and Citizenship Palo Alto, CA (German)	City Palo Alto	Second Given Name Country of Citizenship Germany
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			State & Zip Code/Country California 94303, USA
203	Full Name of Inventor 	Family Name 	First Given Name Second Given Name
	Residence and Citizenship 	City 	State or Foreign Country Country of Citizenship
	Post Office Address 	Post Office Address 	State & Zip Code/Country

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Signature of Inventor 201:	Signature of Inventor 202: 	Signature of Inventor 203:
Date	Date <u>9/3/01</u>	Date